We reviewed the ratio and root tests.

<u>The Ratio Test</u> For a series $\sum a_n$ (where the a_n 's may/may not have mixed signs):

$$If \lim_{n \to \infty} \left| \frac{a_{n+1}}{a_n} \right| = L, \text{ then } \begin{cases} \text{if } L < 1 & \text{then } \sum a_n \text{ converges } \underline{absolutely} \\ \text{if } L > 1 \text{ or } = \infty & \text{then } \sum a_n \text{ diverges} \\ \text{if } L = 1 & \text{ratio test } \underline{fails}: \text{ series behavior is undecided} \\ (must try some other test) \end{cases}$$

<u>The Root Test</u> For a series $\sum a_n$ (where the a_n 's may/may not have mixed signs):

If
$$\lim_{n \to \infty} \sqrt[n]{|a_n|} = L$$
, then
$$\begin{cases} \text{if } L < 1 & \text{then } \sum a_n \text{ converges } \underline{absolutely} \\ \text{if } L > 1 \text{ or } = \infty & \text{then } \sum a_n \text{ diverges} \\ \text{if } L = 1 & \text{root test } \underline{fails} \text{: series behavior is undecided} \\ (must try some other test) \end{cases}$$

Note that the conclusions that you draw from L are the same for both the Ratio Test and the Root Test.

Why does the Root Test work? Here's the explanation for the case when L > 1:

Suppose $\lim_{n \to \infty} \sqrt[n]{|a_n|} = L > 1$. Pick a number r, where 1 < r < L.

Since $\lim_{n\to\infty} \sqrt[n]{|a_n|} = L$, the values of $\sqrt[n]{|a_n|}$ get as closed as desired to L when n is large enough. So we can pick a number N such that, when $n \ge N$, $\sqrt[n]{|a_n|} > r$.

Then, when $n \ge N$, $|a_n| > r^n$. Since r > 1, $r^n \to \infty$ as $n \to \infty$, and so $\lim_{n \to \infty} |a_n| = \infty$ also. Therefore $\lim_{n \to \infty} a_n \ne 0$ By the Test for Divergence, $\sum a_n$ must diverge.

The justification for the Root Test when L < 1 is similar to the justification we gave in class when L < 1 for the Ratio Test,

Q1 Apply the root test to the series $\sum_{n=1}^{\infty} \frac{(n!)^n}{n^n}$. What conclusion do you draw?

A) The series diverges

B) The series converges absolutely

C) The series converges conditionally

D) The Root Test is inconclusive

<u>Answer</u> $a_n = \frac{(n!)^n}{n^n}$, so $\lim_{n \to \infty} \sqrt[n]{|a_n|} = \lim_{n \to \infty} \sqrt[n]{\frac{(n!)^n}{n^n}} = \lim_{n \to \infty} \frac{n!}{n} = \lim_{n \to \infty} (n-1)! = \infty$ so, by the Root Test, the series diverges.

Suppose $c_0, c_1, ..., c_n, ...$ are constants. A series like

(*)
$$\sum_{n=0}^{\infty} c_n x^n = c_0 + c_1 x + c_2 x^2 + \dots + c_n x^n + \dots$$
 is an example of a power series

Informally, you can imagine it as a "infinitely long polynomial." It will converge when certain x values (for example x = 0) are substituted in, and may diverge when certain other x values are substituted into (*).

For those *x*'s that make the series converge, the series has a sum <u>which depends</u> on the *x* value:

$$\sum_{n=0}^{\infty} c_n x^n = c_0 + c_1 x + c_2 x^2 + \dots + c_n x^n + \dots = f(x)$$

For example, $f(0) = c_0 + c_1(0) + c_2(0)^2 + ... = c_0$. The domain for the function defined by the equation (*) is the set of x's that make the series converge.

Example: $1 - x + x^2 - x^3 + \dots = f(x)$

This is a geometric series where the first term a = 1 and the ratio r = -x. Therefore it converges when |r| = |-x| = |x| = 1, that is, when -1 < x < 1 so

(**) $1 - x + x^2 - x^3 + \dots = \frac{a}{1 - r} = \frac{1}{1 - (-x)} = \frac{1}{1 + x} = f(x), -1 < x < 1$

Note: The formula $\frac{1}{1+x}$ makes sense for all $x \neq -1$, But only for -1 < x < 1 does the sum of the power series "add up" to $f(x) = \frac{1}{1+x}$. If we think of f(x) as being <u>defined</u> as the sum of the series, then f(x) is only defined where the series "adds up" to something: -1 < x < 1.

We could also think of "reading" equation (**) from right to left: given $\frac{1}{1+x}$ can we think of a series whose sum (at least for certain x's) is $\frac{1}{1+x}$. We can reason that $\frac{1}{1+x}$ looks like $\frac{a}{1-r}$ = the sum of a geometric series with first term z and ratio r if we choose a = 1 and r = -x. Then we could write down the series $1 - x + x^2 - x^3 + ...$ as a power series whose sum is $\frac{1}{1+x}$, provided that |r| = |-x| = |x| < 1.

<u>Example</u>

We can create new series and functions from equation (**).

• Substitute x = 3w into (**).

$$1 - 3w + 9w^2 - 27w^3 + \dots = \frac{1}{1 + 3w}$$

But to use (**), we need |x| = |3w| < 1, so the new equation is valid if $|w| < \frac{1}{3}$, that is $-\frac{1}{3} < w < \frac{1}{3}$.

• For -1 < x < 1, multiply (**) by $2x^2$ to get

$$2x^2 - 2x^3 + 2x^4 - \dots = \frac{2x^2}{1+x}$$
 for $-1 < x < 1$.

Again (reading right to left) we could have asked: "find a series that represents the function $f(x) = \frac{2x^2}{1+x}$. This function looks like the sum of a geometric series $\frac{a}{1-r}$ where $a = 2x^2$ and r = -x. We could then write down the series on the left, with the restriction that |r| = |-x| = |x| < 1.

• In (**), substitute $x = \sin \theta$ to get

$$1 - \sin \theta + \sin^2 \theta - \sin^3 \theta + ... = \frac{1}{1 + \sin \theta}$$
 provided $|x| = |\sin \theta| < 1$,

that is, when $\sin \theta \neq \pm 1$. Of course when $\sin \theta = 1$, $\frac{1}{1+\sin \theta} = \frac{1}{2}$, but the infinite series doesn't converge (so the series doesn't add to $\frac{1}{2}$). (*Notice, though, that* $1 - \sin \theta + \sin^2 \theta - \sin^3 \theta + \dots$ is NOT a power series because the series is not a sum of powers of the variable θ : θ , θ^2 , θ^3 , etc. The series $\sum_{n=0}^{\infty} c_n (x-a)^n = c_0 + c_1 (x-a) + c_2 (x-a)^3 + ...$ is called a power series centered at *a*.

(The previous series $1 - x + x^2 - x^3 + \dots$ is a power series <u>centered at a = 0</u>)

Notice that when x = a, the series must converge:

$$\sum_{n=0}^{\infty} c_n (a-a)^n = c_0 + c_1 (a-a) + c_2 (a-a)^3 + \dots = c_0$$

The following theorem indicates why we say that $\sum_{n=0}^{\infty} c_n (x-a)^n$ is <u>centered</u> at a:

<u>Theorem</u> For a power series $\sum_{n=0}^{\infty} c_n (x-a)^n$, exactly one of the following <u>must</u> be true:

- 1) The series converges <u>only</u> for x = a
- 2) The series converges for $\underline{\text{all }} x$
- 3) There is a number R > 0 such that
 - series converges when |x a| < R (a R < x < a + R)
 - <u>and</u> series diverges when |x a| > R.

So in case 3) the series converges in a interval <u>centered</u> at *a* and with radius *R*. *R* is called the <u>radius of convergence</u> of the power series. (*The same is true in case 2*) if we say that $R = \infty$; and also in case 1) if we say R = 0)

In case 3), the series might either converge or might diverge when |x - a| = R, that is, when x = a - R = left endpoint of the interval, or x = a + R = right endpoint of the interval.

The proof that these are <u>always</u> the only possibilities is a bit tricky (*see Appendix in textbook if you're interested, or talk with me.*) But in <u>most</u> cases, the ratio or root test will work to show that 1), 2) or 3) must be true for a power series $\sum_{n=0}^{\infty} c_n (x-a)^n$

Q2 For what x's does the power series $\sum_{n=0}^{\infty} \frac{x^n}{n!}$ converge?

A) Only for x = 0B) For -1 < x < 1C) For $-1 \le x \le 1$ nD) For $-4 \le x \le 4$ E) For all $x \land \land$

<u>Answer</u> Use the Ratio Test : $\lim_{n \to \infty} \left| \frac{a_{n+1}}{a_n} \right| = \lim_{n \to \infty} \left| \frac{\frac{x^{n+1}}{(n+1)!}}{\frac{x^n}{n!}} \right| = \lim_{n \to \infty} \left| \frac{n!}{(n+1)!} \right| |x| = \lim_{n \to \infty} \frac{1}{n+1} |x|.$ But for any particular value of x, $\lim_{n \to \infty} \frac{1}{n+1} |x| = 0 < 1.$ So, by the Ratio Test, the series converges to some function $f(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!}$ for all x. (So, Case 2 in the Theorem: $R = \text{radius of convergence} = \infty$).