

### Homework Problem Supplement for the Introduction to Diagonalization

Read that material before attempting these problems.

How can we tell if a  $2 \times 2$  matrix  $A$  has any eigenvalues or eigenvectors?

An eigenvector  $\mathbf{x}$ , with eigenvalue  $\lambda$ , is (by definition) a nonzero solution to  $A\mathbf{x} = \lambda\mathbf{x}$ . This equation can be rewritten as

$$\begin{aligned} A\mathbf{x} - \lambda\mathbf{x} &= \mathbf{0} \\ (A - \lambda I)\mathbf{x} &= \mathbf{0} \end{aligned} \quad \text{where } I = I_2 = \text{the } 2 \times 2 \text{ identity matrix}$$

This equation will have a nonzero solution for  $\mathbf{x}$  if and only if  $\det(A - \lambda I) = 0$ .

Given a specific matrix  $A$ ,  $\det(A - \lambda I)$  is an expression involving an “unknown”  $\lambda$ . If we find a solution  $\lambda$  for the equation  $\det(A - \lambda I) = 0$ , then, for that  $\lambda$ ,  $A\mathbf{x} = \lambda\mathbf{x}$  will have a nontrivial solution for  $\mathbf{x}$ . Each such solution  $\mathbf{x} \neq \mathbf{0}$  is an eigenvector of  $A$  with eigenvalue  $\lambda$ .

If, for example,  $\lambda = -5$  is a solution to  $\det(A - \lambda I) = 0$ , then we know  $-5$  is an eigenvalue because equation  $A\mathbf{x} = -5\mathbf{x}$  must have nontrivial solutions for  $\mathbf{x}$ . These nontrivial solutions are the eigenvectors corresponding to eigenvalue  $\lambda = -5$ . We would find these eigenvectors by solving  $A\mathbf{x} = -5\mathbf{x}$ . To do that, rewrite it as a homogeneous system

$$\begin{aligned} A\mathbf{x} &= -5I\mathbf{x} \\ (A + 5I)\mathbf{x} &= \mathbf{0} \end{aligned}$$

This seems a bit abstract until you actually think through the steps in a specific situation – so here goes.

a) Let  $A = \begin{bmatrix} 1 & 12 \\ 1 & 2 \end{bmatrix}$ . Write the matrix  $(A - \lambda I)$ , and evaluate  $\det(A - \lambda I)$ . Your answer is in terms of an “unknown”  $\lambda$ .

Then solve the equation  $\det(A - \lambda I) = 0$  for  $\lambda$ .

For this problem, you should find two distinct solutions :  $\lambda = ?$  and  $\lambda = ??$ .

b) Separately, for each  $\lambda$  from part a): substitute  $\lambda$  in the equation  $(A - \lambda I)\mathbf{x} = \mathbf{0}$  and find the solutions for  $\mathbf{x}$  (the nonzero solutions are the eigenvectors for that eigenvalue  $\lambda$ .)

c) Is the matrix  $A$  diagonalizable? (Look at Theorem 2 in the notes “Introduction to Diagonalization.”) If it is, what are the matrices  $P, D, P^{-1}$  for which  $A = PDP^{-1}$ ?

2. Suppose  $A = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 3 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$ .

Notice that  $\begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$ .

Choose  $\mathcal{B} = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ 1 \end{bmatrix} \right\}$  to be a new basis for  $\mathbb{R}^2$

If  $\mathbf{x}$  is in  $\mathbb{R}^2$  and  $[\mathbf{x}]_{\mathcal{B}} = \begin{bmatrix} 3 \\ -7 \end{bmatrix}$ , what is  $A\mathbf{x}$ ?

(Note: try to answer the question without actually multiplying out

$\begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 3 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$  to get the matrix  $A$ .)

3. Let  $A = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ .

a) Describe the mapping  $\mathbf{x} \mapsto A\mathbf{x}$  geometrically. Explain briefly how you know this. (How does  $A$  “move things around”? *Hint: Consider first what the mapping does to  $\mathbf{e}_1$ , then  $\mathbf{e}_2$ . What does the mapping do to the unit square?*)

b)  $A$  has no eigenvalues.

i) Explain why there are no eigenvalues using some algebraic calculations: can  $A\mathbf{x} = \lambda\mathbf{x}$  ever be true if  $\mathbf{x} \neq \mathbf{0}$ ?

ii) Explain why there are no eigenvalues using the geometry of your answer in part a)

c) Is  $A$  diagonalizable?