

# C. Harmonic representatives

(133)

## Hodge star

Let  $V = \mathbb{R}^n$  finite-dim'l  $\mathbb{R}$ -vector space with  $\left\{ \begin{array}{l} \text{inner product } \langle \cdot, \cdot \rangle \\ \text{o.n. basis } \{e_1, \dots, e_n\} \\ \text{choice of orientation } e_1 \wedge \dots \wedge e_n > 0 \end{array} \right.$

Extend  $\langle \cdot, \cdot \rangle$  to  $\Lambda^k V := \sum_{k \geq 0} \Lambda^k V$  by  $\left\{ \begin{array}{l} \langle \Lambda^k V, \Lambda^l V \rangle = 0 \text{ if } k \neq l \\ \langle v_1 \wedge \dots \wedge v_k, w_1 \wedge \dots \wedge w_k \rangle := \det \{ \langle v_i, w_j \rangle \} \\ \text{extend linearly} \end{array} \right.$

Definition 1: The Hodge star is the linear transformation

$$\begin{array}{c} * : \Lambda^k V \xrightarrow{\cong} \Lambda^k V \\ \cup \\ \Lambda^k V \xrightarrow{\cong} \Lambda^{n-k} V \end{array} \text{ defined by } \left\{ \begin{array}{l} *(1) = e_1 \wedge \dots \wedge e_n \\ *(e_1 \wedge \dots \wedge e_k) = 1 \\ *(e_{\sigma(1)} \wedge \dots \wedge e_{\sigma(k)}) = (-1)^{\text{sgn}(\sigma)} e_{\sigma(k+1)} \wedge \dots \wedge e_{\sigma(n)} \\ \text{linear extension} \end{array} \right.$$

Proposition 1:

- (a)  $**|_{\Lambda^k V} = (-1)^{k(n-k)}$
- (b)  $*$  independent of choice of o.n. basis (depends only on  $\langle \cdot, \cdot \rangle$ )
- (c)  $\omega, \eta \in \Lambda^k V \implies \begin{cases} \langle \omega, \eta \rangle = *( \omega \wedge *\eta ) \quad (\in \mathbb{R}) \\ \langle *\omega, *\eta \rangle = \langle \omega, \eta \rangle \quad (* \text{ is an isometry}) \end{cases}$

Sketch of Pf: (a)  $**e_1 \wedge \dots \wedge e_k = *(e_{k+1} \wedge \dots \wedge e_n) = (-1)^{\text{sgn}(\{1, \dots, k\}, \{k+1, \dots, n\})} e_1 \wedge \dots \wedge e_k$   
 (b)  $\det(\text{transition matrix}) = 1$  (same volume of parallelepiped)  
 (c) use fact that  $e_{\sigma(1)} \wedge \dots \wedge e_{\sigma(k)}$  are the o.n. e.b.s. of  $\Lambda^k V$ .  $\square$

We also get an inner product (of Hodge  $*$ ) on  $\Lambda^k V^\vee =$

$$\langle \cdot, \cdot \rangle \text{ induces map } \begin{array}{c} V \xrightarrow{\cong} V^\vee \\ v \longmapsto \langle v, - \rangle \end{array} \text{ hence } V^\vee \otimes V^\vee \xrightarrow{(\cong)^\otimes 2} V \otimes V \xrightarrow{\langle \cdot, \cdot \rangle} \mathbb{R}$$

Let  $(M, g)$  be a compact oriented Riemannian manifold, so that

the above discussion applies to  $T_{M,p}$  &  $T_{M,p}^\vee$  for each  $p \in M$ .

The resulting <sup>(fiberwise)</sup> Hodge star induces  $C^\infty$  bundle morphisms

$$\star : \Lambda^k T_M^\vee \rightarrow \Lambda^{n-k} T_M^\vee,$$

which we may also extend  $\mathbb{C}$ -linearly to

$$\star : \Lambda^k T_M^\vee \otimes \mathbb{C} \rightarrow \Lambda^{n-k} T_M^\vee \otimes \mathbb{C}$$

$\downarrow E_M^k$

and (taking sections)

$$\star : A^k(M) \rightarrow A^{n-k}(M).$$

extended to  $A^k T_M^\vee$

We also get Hermitian metrics on the  $E_M^k$

$$\langle \alpha, \beta \rangle_{E_{M,p}^k} := \star(\alpha \wedge \star \bar{\beta}) \stackrel{\text{Prop. 1(c)}}{=} g(\alpha, \bar{\beta}) \quad (\alpha, \beta \in E_{M,p}^k).$$

Integrating this, we have

Definition 2: The Hodge inner product on  $\sum_{k \geq 0} A^k(M)$  is

$$\langle \omega, \eta \rangle := \int_M \omega \wedge \star \bar{\eta} \quad (= \int_M g(\omega, \bar{\eta}) dV) \quad , \quad \omega, \eta \text{ norm } \|\omega\|.$$

The Laplacian

Now consider

$$\delta := \begin{cases} (-1)^{n(k+1)+1} \star d\star & : A^k(M) \rightarrow A^{k-1}(M) \\ 0 & \text{on } A^0(M) \end{cases}$$

$\begin{matrix} \nearrow A^{k-1} & \dots & \rightarrow A^{k-2} \\ \nearrow A^{k-2} & \dots & \rightarrow A^{k-3} \\ \vdots & & \vdots \end{matrix}$

and note  $\star \delta = (-1)^{n(k+1)+1} (-1)^{(n-k+1)(k-1)} d\star = (-1)^k d\star$ .

Proposition 2:  $\langle d\omega, \eta \rangle = \langle \omega, \delta \eta \rangle$ .

Proof: wlog  $\omega \in A^{k-1}(M)$  &  $\eta \in A^k(M)$

$$\begin{aligned} d(\omega \wedge \star \bar{\eta}) &= d\omega \wedge \star \bar{\eta} + (-1)^{k-1} \omega \wedge d\star \bar{\eta} \\ &= d\omega \wedge \star \bar{\eta} - \omega \wedge \star \delta \bar{\eta} \end{aligned}$$

$\Rightarrow$  Stokes  $0 = \int_M d\omega \wedge \star \bar{\eta} - \int_M \omega \wedge \star \delta \bar{\eta}$

$$= \langle d\omega, \eta \rangle - \langle \omega, \delta \eta \rangle. \quad \square$$

Definition 3: The (d-) Laplacian on  $M$  is

$$\Delta_{(d)} := \delta d + d \delta : \begin{cases} E_M^k \rightarrow E_M^k \\ A^k(M) \rightarrow A^k(M) \end{cases}$$

Ex/ (C.1)  $\boxed{* \Delta = \Delta *}$

Example 1: ( $M = \mathbb{R}^2, k=0$ )  $-\Delta f = * d * (df) + d * d * f$

$\swarrow$  fcn. on  $\mathbb{R}^2$

$$= * d (f_x dx + f_y dy) + d * (f dx + f dy)$$

$$= * d (f_x dy - f_y dx)$$

$$= * ((f_{xx} + f_{yy}) dx \wedge dy)$$

$$= f_{xx} + f_{yy}$$

□

Corollary 1:  $\langle \Delta \omega, \eta \rangle = \langle \omega, \Delta \eta \rangle$

Proposition 3: For  $\alpha \in A^k(M), \Delta \alpha = 0 \iff d\alpha = 0 = \delta \alpha$

Proof: ( $\implies$ ):  $0 = \langle \Delta \alpha, \alpha \rangle = \langle d\delta \alpha, \alpha \rangle + \langle \delta d \alpha, \alpha \rangle = \|\delta \alpha\|^2 + \|d\alpha\|^2$ . □

Note that this makes constants the only <sup>(global)</sup> harmonic functions on  $M$ .  
Speaking of which, we have the

Definition 4: The harmonic k-forms are  $\mathcal{H}^k(M) := \ker(\Delta) \subset A^k(M)$ .

Why would we care about these? Historically, the Hodge theorem was motivated by the idea that one should look for representatives of cohomology classes with least norm.

Proposition 4: A given  $\eta \in \ker(d) \subset A^k(M)$  is of least Hodge norm in its class  $[\eta] = \eta + dA^{k-1}(M) \in H^k(M, \mathbb{C}) \iff \eta \in \mathcal{H}^k(M)$  (equiv.  $d\eta = 0$ ).

Proof: ( $\Leftarrow$ ):  $\|\eta + d\omega\|^2 = \langle \eta + d\omega, \eta + d\omega \rangle$   
 $= \|\eta\|^2 + \|d\omega\|^2 + 2 \operatorname{Re} \langle \eta, d\omega \rangle$   
 $= \|\eta\|^2 + \|d\omega\|^2 + 2 \operatorname{Re} \langle \delta\eta, \omega \rangle$   
 $= \|\eta\|^2 + \|d\omega\|^2$   
 $\geq \|\eta\|^2$  with equality  $\Leftrightarrow d\omega = 0$ .

Hence  $\eta$  is the unique class of minimal norm.

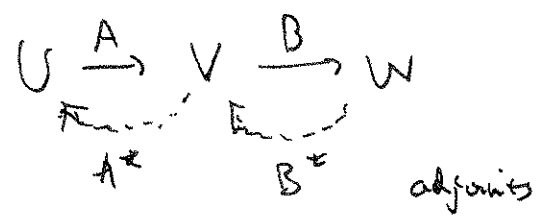
( $\Rightarrow$ ):  $\|\eta\|$  minimal  $\Rightarrow$  for every  $\omega$ ,  $0 = \left. \frac{d}{dt} \|\eta + t d\omega\|^2 \right|_{t=0}$   
 (e.g.  $\delta\eta$ )  $= \left. \frac{d}{dt} \langle \eta + t d\omega, \eta + t d\omega \rangle \right|_{t=0}$   
 $= 2 \operatorname{Re} \langle \eta, d\omega \rangle$   
 $= 2 \operatorname{Re} \langle \delta\eta, \omega \rangle$   
 $\Rightarrow \delta\eta = 0$ .

□

The hard question is whether every class has a harmonic representative at all.



Let  $U, V, W =$  finite-dimensional inner-product spaces



Note that  $A^*$  is injective on  $\operatorname{im}(A)$  since  $\langle A^* A u, u \rangle = \|A u\|^2$ .

Lemma 1:  $B^* B + A A^* \in \operatorname{Aut}(V)$

Proof: Given  $v \neq 0$ ,  $\langle (B^* B + A A^*) v, v \rangle = \|B v\|^2 + \|A^* v\|^2$   
 If  $B v \neq 0$  then  $\langle \dots \rangle \neq 0$ , done.  
 If  $B v = 0$  then  $v \in \operatorname{im}(A)$ , and so  $A^* v \neq 0$ , done. □

Set  $\begin{cases} U = E_{M,p}^{k-1} \\ V = E_{M,p}^k \\ W = E_{M,p}^{k+1} \end{cases}$  and  $A, B$  given by  $\mathfrak{S}^\perp(\cdot) =: \mu_{\mathfrak{S}}$   
 (equipped with Hodge  $\langle, \rangle$ ) for  $\mathfrak{S} \in T_{M,p}^V$

Ex/ check the sequence is indeed exact in this case.

Lemma 2:  $A^* = (-1)^{n(k-1)} * \mu_{\xi}^*$ ,  $B^* = (-1)^{nk} * \mu_{\xi}^*$ .

Proof:  $\langle \mu_{\xi}^* u, v \rangle = * ((\xi \wedge u) \wedge * v) = (-1)^{k-1} * (u \wedge (\xi \wedge * v))$  ← deg n-k+1  
 $= (-1)^{k-1} (-1)^{(n-k+1)(k-1)} * (u \wedge * \mu_{\xi}^* v) = \langle u, (-1)^{n(k-1)} * \mu_{\xi}^* v \rangle \square$

Corollary 2:  $(-1)^{nk} * \mu_{\xi}^* \mu_{\xi}^* + (-1)^{n(k-1)} \mu_{\xi}^* \mu_{\xi}^* \in \text{Aut}(E_{M,p}^k) \quad \forall \xi \in T_{M,p}^v$

Proposition 5:  $\Delta$  is elliptic [as a diff. op.  $A^k(M) \rightarrow A^k(M)$ , for any  $k$ ]

Proof: Wts for each  $p \in M$  and any  $\xi \in T_{M,p}^v(\xi^0)$  and  $v_p \in E_{M,p}^k(\xi^0)$ , that

(c.2)  $\sigma_{\Delta}(p, \xi)(v_p) \iff \Delta(\varphi^2 v) |_p$   
↑  
(  $\sigma_{\Delta}$  homog. of deg. 2 in  $\xi$ , linear in  $v$  ) ↑ ( any  $C^\infty$  fun. with  $d\varphi(p) = \xi$  ) ↑ ( any local  $C^\infty$  section extending  $v_p$  )

is nonzero. But RHS (c.2) =  $\{ (-1)^{n(k+1)+1} d * d * + (-1)^{nk+1} * d * d \} (\varphi^2 v) |_p$   
 $= -2 \{ (-1)^{nk} * \mu_{\xi}^* \mu_{\xi}^* + (-1)^{n(k-1)} \mu_{\xi}^* \mu_{\xi}^* \} (v_p)$   
 $\neq 0$  by Cor. 2. □

where e.g.  
 $(d * d *) (\varphi^2 v) |_p =$   
 $(d * d \varphi^2 * v) |_p =$   
 $(2 d * \varphi d \varphi * v) |_p =$   
 $(2 (d\varphi) \wedge * (d\varphi) * v) |_p =$   
 $2 \mu_{\xi}^* \mu_{\xi}^* v_p$

The Hodge Theorems

Theorem 1: For each  $k$ ,  $\dim \mathcal{H}^k(M) < \infty$  and

$$\begin{aligned} A^k(M) &= \Delta(A^k(M)) \oplus \mathcal{H}^k(M) \\ &= d\mathcal{J}(A^k(M)) \oplus \mathcal{J}d(A^k(M)) \oplus \mathcal{H}^k(M) \\ &= d(A^{k-1}(M)) \oplus \mathcal{J}(A^{k+1}(M)) \oplus \mathcal{H}^k(M) \end{aligned}$$

where " $\perp$ " is w.r.t. Hodge  $\langle, \rangle$ .

Proof: Since  $\Delta$  is an elliptic diff. op., the Finiteness + Decomposition Thm. (138)

apply and give the " $< \infty$ " and the first " $=$ ". Next, we

$$\Delta(A^k(M)) \subseteq d\delta(A^k(M)) + \delta d(A^k(M))$$

$$\subseteq d(A^{k+1}(M)) + \delta(A^{k-1}(M))$$

making these  
inclusions equalities

By Prop. 2-3, the last 2 spaces are  $\perp$  to  $\mathcal{H}^k(M)$ . So it

remains to check that the sums are orthogonal ( $\Rightarrow$  direct).

This again follows from Prop. 2 since in general  $\langle \delta\alpha, d\beta \rangle = \langle \alpha, d\delta\beta \rangle = 0$ . □

Corollary 3:  $\Delta(\cdot) = \beta$  ( $\in A^k(M)$ ) soluble  $\Leftrightarrow \beta \perp \mathcal{H}^k(M)$ .

(The solution is unique mod  $\mathcal{H}^k(M)$ .)

Recall from the proof of the Decomposition Thm. the orthogonal projection which reads in this case

$$H: A^k(M) \rightarrow \mathcal{H}^k(M).$$

Definition 5: Taking  $G(\alpha) :=$  unique solution in  $(\mathcal{H}^k(M))^\perp$  of

$$\Delta(\cdot) = \alpha - H(\alpha) \quad (\text{cf. Cor. 3 above})$$

defines the Green's operator

$$G: A^k(M) \rightarrow (\mathcal{H}^k(M))^\perp.$$

Clearly, it satisfies

$$(C.3) \quad \boxed{\mathbb{1} = H + \Delta G}$$

Remark that neither  $H$  nor  $G$  is defined locally: if you start with a form  $\omega$  (say,  $d$ -closed) compactly supported in some  $V \subset M$ ,  $H(\omega)$  and  $G(\omega)$  will not have this property.

Proposition 6: (a)  $G$  is bounded + self-adjoint

(b)  $G$  takes bounded sequences to sequences w/ Cauchy subseqs

(c)  $G$  commutes with  $d, \delta,$  and  $\Delta$

Proof: (a) Lemma B.2  $\Rightarrow \exists C > 0$  s.t.  $\|\beta\| \leq C \|\Delta\beta\|$  ( $\forall \beta \in (\mathcal{H}^h(M))^\perp$ )

(C.4)

$$\begin{aligned} \Rightarrow \|G(\alpha)\| &\leq C \|\Delta G(\alpha)\| \leq C \sqrt{\|\Delta G(\alpha)\|^2 + \|H(\alpha)\|^2} \\ &= C \|\alpha\| \\ &\uparrow \\ \alpha &= H(\alpha) + \Delta(G(\alpha)) \end{aligned}$$

$$\begin{aligned} \langle G(\alpha), \beta \rangle &= \langle G(\alpha), H(\beta) + \Delta G(\beta) \rangle \\ &= \langle G(\alpha), \Delta G(\beta) \rangle \\ &= \langle \Delta G(\alpha), G(\beta) \rangle \\ &= \langle \alpha - H(\alpha), G(\beta) \rangle \\ &= \langle \alpha, G(\beta) \rangle \end{aligned}$$

(b) Given  $\|\alpha_k\| \leq K$ , (C.4)  $\Rightarrow \|G(\alpha_k)\|, \|\Delta G(\alpha_k)\|$  bounded.

Done by global Garding.

(c) wts:  $G$  commutes w/ any linear operator  $T: A^p(M) \rightarrow A^q(M)$  s.t.  $[T, \Delta] = 0$ .

First note  $\begin{cases} T(\mathcal{H}^p(M)) \subset \mathcal{H}^q(M) & \text{(easy)} \\ T((\mathcal{H}^p(M))^\perp) \subset (\mathcal{H}^q(M))^\perp & (\beta \in (\mathcal{H}^p)^\perp \Rightarrow \beta = \Delta G(\omega) \Rightarrow \langle T\beta, \mathcal{H}^q \rangle = 0) \end{cases}$

But then  $T$  "preserves  $\mathcal{H}$ - and  $\mathcal{H}^\perp$ - components  $\Rightarrow$

$$\begin{aligned} 0 &= T(1-H) - (1-H)T \\ &= T\Delta G - \Delta G T \\ &= \Delta(TG - GT) \end{aligned}$$

$\Rightarrow 0 = TG - GT$  ↖ range  $\perp$  to  $\ker(\Delta)$



The next 3 results hold for an arbitrary compact, orientable,  $C^\infty$  manifold  $M$ , since such an  $M$  can always be equipped with a Riemannian metric.

Theorem 2: Every deRham cohomology class contains a unique harmonic representative; that is,  $\mathcal{H}^k(M) \xrightarrow{\cong} H^k(M, \mathbb{C})$ .

Proof: need to show  $\exists$  of rep. (then done by Prop. 4).

Given any  $\alpha \in \ker(d) \subset A^k(M)$ ,

$$\begin{aligned} \alpha &\stackrel{(C.3)}{=} H\alpha + d\delta G\alpha + \delta dG\alpha \\ &\stackrel{\text{Prop. 6(c)}}{=} H\alpha + d\delta G\alpha + \delta G \cancel{d\alpha} \rightarrow 0 \\ &= H\alpha + d(\delta G\alpha). \end{aligned}$$

$\Rightarrow [\alpha] = [H(\alpha)] \in H^k(M, \mathbb{C})$ .

□

Corollary 4:  $\dim(H^k(M)) < \infty$ .

Corollary 5 (Poincaré duality): The pairing

$$H^{n-k}(M, \mathbb{C}) \times H^k(M, \mathbb{C}) \rightarrow \mathbb{C}$$

induced by  $([\alpha], [\beta]) \longmapsto \int_M \alpha \wedge \beta$ , is perfect.

Proof: Given  $[\alpha]$  (v.t.s.  $\exists$  class with which it pairs nontrivially),

let  $\alpha_0 =$  harmonic representative (of  $[\alpha]$ ). By (C.1),

$$0 = * \underbrace{\Delta \alpha_0}_{\Delta \alpha_0} = \Delta(*\alpha_0) \Rightarrow *\alpha_0 \text{ harmonic \& for } H^k \text{ d-dual.}$$



Now  $\int_M \alpha_0 \wedge * \bar{\alpha}_0 = \|\alpha_0\|^2 \neq 0$

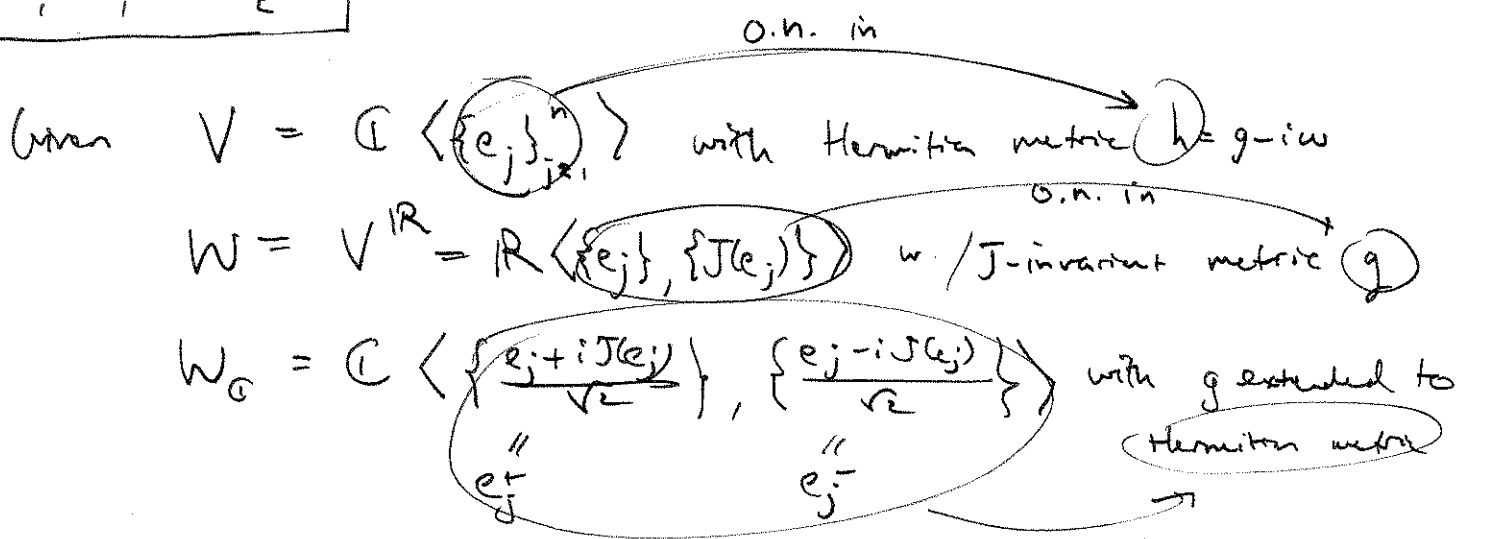
$\Rightarrow [* \bar{\alpha}_0]$  pairs nontrivially with  $[\alpha]$ .

]

Remark 1:

- (i) All of the above works  $\mathbb{R}$  rather than  $\mathbb{C}$ .
- (ii) Another approach to the proof of the Hodge Theorems 1 & 2 uses the Spectral theorem (e.g. to construct  $G$ ). The eigenvalues of  $\Delta$  go to  $\infty$ , but are (w./ the exception of 0 itself) bounded away from 0.
- (iii) We could have done Thm. 2/Cor. 4 w/o constructing  $G$  — cf. Voisin or the related proof for  $\Delta_E$  below
- (iv) Henceforth, for consistency, we drop  $\bar{\cdot}$  for the adjoint of  $d$  and just write  $d^*$ . Note that when  $n$  is even,  $\begin{cases} * * = (-1)^k \\ d^* = - * d * \end{cases}$ .

$\partial, \bar{\partial},$  and  $\bar{\partial}_E$



$1 = \langle e_i^+ \wedge e_j^-, e_i^+ \wedge e_j^- \rangle = * (e_i^+ \wedge e_j^- \wedge * (e_i^+ \wedge e_j^-)) \Rightarrow *(e_i^+ \wedge e_j^-) = e_i^+ \wedge e_j^-$

$\Rightarrow *(e_i^+ \wedge e_j^-) = \overline{e_i^+ \wedge e_j^-} = e_j^+ \wedge e_i^-$

\*  $\mathbb{C}$ -linear

hence  $\Lambda^{p,q} W_{\mathbb{C}} \xrightarrow{*} \Lambda^{n-q, n-p} W_{\mathbb{C}}$ .

i.e. Hermitian n-manifold

Let  $M = \mathbb{C}$ -n-manifold with Hermitian metric  $h = g$ -ics  
 ( $M^{\mathbb{R}}$  = underlying real 2n-manifold)

$\mathcal{J}$ -invariant Riemannian metric on  $M^{\mathbb{R}}$   
 $\Rightarrow * : A^k \rightarrow A^{2n-k}$   
 sends  $A^{p,q} \rightarrow A^{n-q, n-p}$   
 and  $\bar{*}(\cdot) := *( \bar{\cdot} )$   
 sends  $A^{p,q} \rightarrow A^{n-p, n-q}$

$E =$  holomorphic Hermitian vector bundle

$\hookrightarrow$  inner product induces  $E \xrightarrow{\mathbb{P}} E^{\vee}$  (antilinear)  
 hence have  $\bar{*}_E : A^k(E) \rightarrow A^k(E^{\vee})$   
 sending  $A^{p,q}(E) \rightarrow A^{n-p, n-q}(E^{\vee})$   
 $\omega \otimes \varepsilon \mapsto *\bar{\omega} \otimes \varphi(\varepsilon)$

We shall restrict attention to

$$\bar{\partial}_E : A^{0,q}(E) \rightarrow A^{0,q+1}(E),$$

so

$$\bar{*}_E : A^{0,k}(E) \rightarrow A^{n, n-k}(E^{\vee}) \cong A^{0, n-k}(\underbrace{K_M}_{\mathcal{J}_M^n} \otimes E^{\vee})$$

will suffice.

We will need the adjoint operators

Lemma 3: (i)  $\left\{ \begin{array}{l} \bar{\partial}^* = -*\bar{\partial}* : A^{p,q} \rightarrow A^{p-1,q} \\ \bar{\partial}^* = -*\bar{\partial}* : A^{p,q} \rightarrow A^{p,q-1} \end{array} \right\}$  under  $\langle \cdot, \cdot \rangle = \int_M (\cdot) \wedge \bar{*}(\cdot)$

(ii)  $\bar{\partial}_E^* = (-1)^q \bar{*}_E^{-1} \bar{\partial}_{K_M \otimes E^{\vee}} \bar{*}_E : A^{0,q}(E) \rightarrow A^{0,q-1}(E)$   
 under  $\langle \cdot, \cdot \rangle_E = \int_M (\cdot) \wedge \bar{*}_E(\cdot)$  (includes action of  $E^{\vee}$  on  $E$ )

Proof (for  $\bar{\partial}^*$ , Ex/next):  $\left. \begin{array}{l} \omega \in A^{k-1}(M) \\ \eta \in A^k(M) \end{array} \right\} \Rightarrow$

(+) note that we are wedging  $A^{0,q}(E)$  with  $A^{n, n-q}(E^{\vee})$  - this gives (letting  $E^{\vee} \otimes E$  pair off) something in  $A^{n,n}$  (hence natural to integrate /M).

$$\begin{aligned} \bar{\partial}(\omega \wedge \bar{*}\eta) &= \bar{\partial}\omega \wedge \bar{*}\eta + (-1)^{k-1} \omega \wedge \bar{\partial}\bar{*}\eta \\ &= \bar{\partial}\omega \wedge \bar{*}\eta + \omega \wedge \bar{*}\bar{\partial}^*\eta \end{aligned}$$

For degree  $(2n-1)$  forms  $\alpha^{(n,n-1)} + \beta^{(n-1,n)}$ ,  $\bar{\partial}(\alpha + \beta) = \bar{\partial}\alpha = d\alpha \Rightarrow$  (143)

$$\int_M \bar{\partial}(\alpha + \beta) = \int_M d\alpha = 0 \quad \text{so} \quad 0 = \int_M \bar{\partial}(\omega \wedge \bar{\kappa} \bar{\gamma}) = \langle \bar{\partial}\omega, \bar{\gamma} \rangle + \langle \omega, \bar{\kappa} \bar{\partial} \bar{\gamma} \rangle.$$

□

One then defines Laplacians by

$$\left\{ \begin{array}{l} \Delta_{\bar{\partial}} = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial} \\ \Delta_{\partial} = \partial\partial^* + \partial^*\partial \\ \Delta_E = \bar{\partial}_E \bar{\partial}_E^* + \bar{\partial}_E^* \bar{\partial}_E \end{array} \right\} \text{ on } A^{p,q}(M) \text{ (or } A^k(M))$$

and checks that

$$(C.S) \quad \left\{ \begin{array}{l} \ker(\Delta_{\bar{\partial}}) = \ker(\bar{\partial}) \cap \ker(\bar{\partial}^*) \\ \text{etc.} \end{array} \right.$$

Lemma 4:  $\Delta_{\bar{\partial}}$ ,  $\Delta_{\partial}$ , and  $\Delta_E$  are elliptic (self-adjoint).

Proof (for  $\bar{\partial}$ , Eo/rest): Same as for  $d$  except:

$$\Delta_{\bar{\partial}} (\varphi^2 \omega) |_{p_0} = - \{ \bar{\partial} \bar{\partial}^* \bar{\partial} + \bar{\partial}^* \bar{\partial} \bar{\partial} \} (\varphi^2 \omega) |_{p_0} = -\frac{1}{2} \{ \frac{\mu}{\bar{\kappa}} \frac{\bar{\kappa}}{\mu} + \frac{\mu}{\bar{\kappa}} \frac{\bar{\kappa}}{\mu} \} (v_p)$$

injective by lemma 1+2

(local (p,q)-form  $\neq 0$  at  $p_0$ )

$\begin{pmatrix} \partial\varphi(p_0) = \xi \neq 0 \\ \partial\varphi(p_0) = \bar{\chi} \neq 0 \\ \bar{\partial}\varphi(p_0) = \bar{\chi} \neq 0 \end{pmatrix}$

□

Definition 6:  $\mathcal{H}^{0,q}(M, E) := \ker(\Delta_E) \subset A^{0,q}(M, E)$

$\mathcal{H}^{p,q}(M) := \ker(\Delta_{\bar{\partial}}) (= \ker(\Delta_{\partial^*})) \subset A^{p,q}(M)$

Remark 2:  $\Delta_{E=\partial^* P}$  and  $\Delta_{\bar{\partial}}$  differ by a constant on  $A^{0,q}(\mathbb{R}^p) = A^{p,q}$  (cf. Voßsin)

Theorem 3: Assume  $M$  compact. Then one has isomorphisms (144)

$$\mathcal{H}^{0,q}(M, E) \xrightarrow{\cong} H^q(M, \mathcal{O}(E))$$

$$\mathcal{H}^{p,q}(M) \xrightarrow{\cong} H^q(M, \Omega^p) \cong H_{\bar{\partial}}^{p,q}(M)$$

(Special case of)

and these spaces are finite-dimensional.

Proof: By Lemma 4 of the Decomposition Theorem,

$$A^{0,q}(M, E) = \mathcal{H}^{0,q}(M, E) \oplus \Delta_E(A^{0,q}(M, E)).$$

Given  $\beta \in \mathcal{H}^{0,q}$   $\bar{\partial}_E$ -closed, hence giving a class in  $H^q(M, \mathcal{O}(E))$ ,

$$\text{we have } \beta = \alpha + \Delta_E \gamma = \alpha + \bar{\partial}_E \bar{\partial}_E^* \gamma + \bar{\partial}_E^* \bar{\partial}_E \gamma$$

(all  $\bar{\partial}_E$ -closed!)

$\Rightarrow \bar{\partial}_E^* \bar{\partial}_E \gamma$  is also  $\bar{\partial}_E$ -closed; clearly it is  $\bar{\partial}_E^*$ -closed (as  $\bar{\partial}_E^* \bar{\partial}_E^* = 0$ ), so it must be harmonic. But it is also in the space  $\perp$  to harmonic forms! Hence, it is zero, and  $\beta \equiv \alpha$  mod a  $\bar{\partial}_E$ -exact form. This proves the maps of Thm. 3 are surjective.

For injectivity, let  $\beta \in \mathcal{H}^{0,q}(M, E)$ , assume  $\beta$   $\bar{\partial}_E$ -exact ( $= \bar{\partial}_E \alpha$ ).

$$\text{Then for any } \gamma \in \mathcal{H}^{0,q}(M, E), \langle \beta, \gamma \rangle_E = \langle \bar{\partial}_E \alpha, \gamma \rangle_E = \langle \alpha, \bar{\partial}_E^* \gamma \rangle = 0 \Rightarrow$$

$$\beta \in (\mathcal{H}^{0,q}(M, E))^\perp \Rightarrow \beta = 0. \quad \square$$

We need the following

Lemma 5:  $H^n(M, \Omega^n) \cong \mathbb{C}$ .

Proof: LHS  $\stackrel{\text{Thm. 3}}{=} \mathcal{H}^{n,n}(M) = \ker(\bar{\partial}^*) = \{ \ker(\bar{\partial}^*) \subset A^{n,n}(M) \} \cong \{ \ker(\bar{\partial}) \subset A^{0,0}(M) \}$  (anti-hol. forms.)

\*  $\xrightarrow{\quad\quad\quad} \mathbb{C}$

(From this, it is also clear that  $\int_M$  gives an  $\cong$  from LHS to  $\mathbb{C}$ .)

Now consider the pairings

$$(C.6) \quad H^p(M, \mathcal{O}(E)) \otimes H^{n-q}(M, \mathcal{O}(K_M \otimes E^\vee)) \xrightarrow{\wedge} H^n(M, \mathcal{O}(K_M)) \xrightarrow[\cong]{\int} \mathbb{C}$$

a special case of which is

$$(C.7) \quad H_S^{p,q}(M) \otimes H_S^{n-p, n-q}(M) \xrightarrow{\int(\cdot) \wedge (\cdot)} \mathbb{C}$$

Corollary 6 (Serre duality) : (C.6) & (C.7) are perfect pairings.

Proof : Let  $\alpha \in \mathcal{H}^{p,q}(M, E)$ . Then

$$0 = \bar{\kappa}_E \Delta_E \alpha = (\bar{\tau}) \Delta_{K_X \otimes E^\vee} \bar{\kappa}_E \alpha \implies \bar{\kappa}_E \alpha \text{ is harmonic} \\ \implies \bar{\kappa}_E \alpha \text{ is } \bar{\partial}_E\text{-closed.}$$

So it represents a class in  $\mathcal{H}^{0, n-q}(M, \mathcal{O}(K_X \otimes E^\vee))$ , and

$$\int_M \alpha \wedge \bar{\kappa}_E \alpha = \|\alpha\|^2 \neq 0 \implies \text{this class pairs nontrivially with } [\alpha].$$

□

Remark 3 : There is also a proof (by harmonic theory) of the Künneth formula

$$H^k(M \times N) \cong \bigoplus_{a+b=k} H^a(M) \otimes H^b(N) \quad (\text{cf. Griffiths-Harris})$$