

## Some Remarks on Prill's Problem

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### Abstract.

If  $f : X \rightarrow Y$  is a non-constant map of smooth curves over  $\mathbb{C}$  and if there is a degree two map  $\pi : X \rightarrow C$  where  $C$  is a smooth curve with genus less than that of  $Y$ , we show that for a general point  $P \in Y$ ,  $f^{-1}(P)$  does not move except possibly in one particular case. In particular, this implies that Prill's problem has an affirmative answer if  $X$  as above is hyperelliptic or if  $f$  is Galois.

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### §1. Introduction

Let  $f : X \rightarrow Y$  be a finite morphism of non-singular irreducible projective curves over  $\mathbb{C}$  of degree  $d$ . Let  $G$  (resp.  $g$ ) denote the genus of  $X$  (resp.  $Y$ ). Further assume that  $g \geq 2$ . Then Prill's problem states that for a general point  $P \in Y$ ,  $f^{-1}(P)$  does not move. That is,  $H^0(X, f^*\mathcal{O}_Y(P)) = \mathbb{C}$  (See Arbarello et. al. pp268 [ACGH85]). Since Prill's problem has an affirmative solution if  $f$  is cyclic (that is,  $f$  is Galois with Galois group cyclic), which will be shown in Proposition 2 and is well known, we will assume that  $d \geq 3$ , noting that any degree two map is cyclic. One of the consequences stated in [ACGH85] is that if  $f$  is as above and Galois and if Prill's problem is false for this  $f$  then  $h^0(X, f^*\mathcal{O}_Y(P)) > 2$ . We will write down a proof of this for completeness in Proposition 3.

Recently, it has been shown by Biswas and Butler in [BB05] that Prill's problem has an affirmative answer if  $X$  is hyperelliptic. Our theorem below is a generalization of theirs. Our methods are somewhat different from theirs and might be of independent interest.

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**Theorem 1.** *Let  $f : X \rightarrow Y$  be as above. Assume that one has a degree two morphism  $\pi : X \rightarrow C$  where  $C$  is a non-singular curve with genus  $\rho < g$ . Then either Prill's problem has an affirmative answer for  $f$  or  $f$  is étale,  $g = 2$  and  $\rho = 1$ .*

*In particular, Prill's problem has an affirmative answer if either  $X$  is hyperelliptic or if  $f$  is Galois.*

## §2. Preliminaries

Here we collect some results on Prill's problem, which are mostly well known. We fix our notation  $f : X \rightarrow Y$  to be a finite map of degree  $d$  with  $G$  (resp.  $g$ ) denoting the genus of  $X$  (resp.  $Y$ ). Also  $g \geq 2$ .

**Proposition 2.** *Let  $f$  be cyclic. Then Prill's problem has an affirmative answer.*

*Proof.* If  $f$  is cyclic, then  $f_*\mathcal{O}_X$  is a direct sum of line bundles on  $Y$ , the eigenspaces for the cyclic group. Thus  $f_*\mathcal{O}_X = \bigoplus_{i=1}^d L_i$  and clearly we may assume that  $L_1 = \mathcal{O}_Y$ ,  $\deg L_i \leq 0$  and  $H^0(Y, L_i) = 0$  for  $i > 1$ . Thus it suffices to prove that if  $L_2, \dots, L_d$  are a finite set of line bundles on  $Y$  with  $\deg L_i \leq 0$  with no sections, then for a general point  $P \in Y$ ,  $H^0(Y, L_i(P)) = 0$  for  $i \geq 2$ . Thus it suffices to prove that for a single such line bundle  $L = L_i$ , the set  $S$  of points  $P$  with  $H^0(Y, L(P)) \neq 0$  is a finite set.

It is clear that if  $\deg L < -1$ , then  $S$  is empty. So, we may assume that  $\deg L = 0$  or  $-1$ . If it is  $-1$  and if  $P \in S$ , we see that  $L = \mathcal{O}_Y(-P)$ . Then for any point  $Q \neq P$ ,  $L(Q)$  has no section since no two distinct points can be rationally equivalent. If  $\deg L = 0$  and  $P \in S$ , then  $L = \mathcal{O}_Y(Q - P)$  for some point  $Q$ . Since  $H^0(L) = 0$ ,  $Q \neq P$ . If  $P \neq R \in S$ , we see that there exists a point  $R'$  such that  $Q + R \sim P + R'$ . This implies that  $Y$  is hyperelliptic and if  $\sigma$  is the hyperelliptic involution,  $S$  consists of at most two points,  $P, \sigma(Q)$ . Q.E.D.

The following is essentially the content of the exercise in [ACGH85].

**Proposition 3.** *Let  $f$  as above be Galois and assume that Prill's problem has a negative answer for  $f$ . Then for a general point  $P \in Y$ ,  $h^0(X, f^*\mathcal{O}_Y(P)) > 2$ .*

*Proof.* On the contrary, assume that  $W_P = H^0(X, f^*\mathcal{O}_Y(P)) = 2$  for a general point  $P \in Y$ . Let  $G$  be the Galois group. Thus  $G$  acts on  $W_P$  and if for a general point  $P$ , the group homomorphism  $G \rightarrow \text{Aut } \mathbb{P}(W_P)$  is not injective, then by continuity, there is a normal subgroup  $H \subset G$  which acts trivially on  $W_P$  for all  $P$ . If we consider the map  $f' : X/H \rightarrow Y$ , we immediately see that for a general point  $P \in Y$ ,  $h^0(X/H, f'^*(\mathcal{O}_Y(P))) =$

2. Since  $f'$  is Galois with Galois group  $G/H$ , we may replace  $X$  by  $X/H$  and thus assume to start with that the map  $G \rightarrow \text{Aut } \mathbb{P}(W_P)$  is injective for general  $P \in Y$  and the map  $f$  itself. But, the section corresponding to  $f^{-1}(P)$  is fixed by  $G$  and thus  $G \subset \text{Aut } \mathbb{A}^1$ . We have an exact sequence of groups,

$$1 \rightarrow \mathbb{C} \rightarrow \text{Aut } \mathbb{A}^1 \rightarrow \mathbb{C}^* \rightarrow 1.$$

Since  $G$  is finite, this implies that  $G$  is a subgroup of  $\mathbb{C}^*$  and hence cyclic. Now by Proposition 2 we are done. Q.E.D.

The following has been proved in [BB05]. Our proof is somewhat different.

**Proposition 4.** *If Prill's problem is false for  $f$ , then  $f_*K_X$  is not generically globally generated. That is, the subsheaf of  $f_*K_X$  generated by  $H^0(Y, f_*K_X)$  has rank less than  $d$ .*

*Proof.* Suffices to show that for a general point  $P \in Y$  the natural map  $H^0(f_*K_X) \rightarrow H^0(f_*K_{X|_P})$  is not onto. Since the latter is a vector space of dimension  $d$  and the former is a vector space of dimension  $G$ , suffices to show that the kernel  $H^0(f_*K_X(-P))$  has dimension greater than  $G - d$ . By Serre duality, this is just the dimension of  $H^1(X, f^*(P))$ . By Riemann-Roch we have,  $h^1(X, f^*(P)) = h^0(f^*(P)) - 1 + G - d$  and by hypothesis  $h^0(f^*(P)) > 1$ . Q.E.D.

**Proposition 5.** *Let  $f : X \rightarrow Y$  be a finite map of non-singular curves. Assume that we have finite morphisms  $\phi : Y \rightarrow \mathbb{P}^1$  and  $\psi : Z \rightarrow \mathbb{P}^1$  where  $Z$  is a non-singular curve. Further assume that  $Z' = Z \times_{\mathbb{P}^1} Y$  is irreducible and we have a morphism  $\eta : Z' \rightarrow X$  such that the composite  $Z' \rightarrow X \rightarrow Y$  is the natural projection  $Z' \rightarrow Y$ . Then Prill's problem has an affirmative answer for  $f$ .*

*Proof.* If Prill's problem is false for  $f$ , clearly it is false for  $f' = f \circ \eta$ , though  $Z'$  may be singular. If  $p : Z' \rightarrow Z$  and  $q : Z' \rightarrow Y$  denote the two projections, for any point  $P \in Y$ , we have,  $p_*f'^*(\mathcal{O}_Y(P)) = \psi^*\phi_*(\mathcal{O}_Y(P))$ . If we write  $\phi_*(\mathcal{O}_Y(P))$  as a direct sum of line bundles  $\oplus L_i$ ,  $H^0(Y, \mathcal{O}_Y(P)) = \mathbb{C}$  implies that one of the  $L_i = \mathcal{O}_{\mathbb{P}^1}$  and the others have negative degree. But then  $\psi^*\phi_*(\mathcal{O}_Y(P))$  is a direct sum of one copy of  $\mathcal{O}_Z$  and the rest of negative degree. Thus  $H^0(Z', f'^*(\mathcal{O}_Y(P))) = \mathbb{C}$ . Q.E.D.

**§3. Proof of Theorem 1**

*Proof.* Write  $\pi_*\mathcal{O}_X = \mathcal{O}_C \oplus L$  where  $L$  is a line bundle of degree  $-m$  on  $C$  with  $m > 0$ . For a point  $P \in Y$  we have  $V_P = \pi_*f^*(\mathcal{O}_Y(P))$

a rank two vector bundle on  $C$ . Also we have the natural inclusion  $\pi_*\mathcal{O}_X \rightarrow V_P$  using the natural inclusion of  $\mathcal{O}_X \subset f^*(\mathcal{O}_Y(P))$ . Since the cokernel of this map is a sky-scraper sheaf of length  $d$ , we see that  $\deg V_P = -m + d$ . We have  $G = h^1(\pi_*\mathcal{O}_X) = \rho + h^1(L)$ . By Riemann-Roch  $h^1(L) = m + \rho - 1$  and thus  $G = 2\rho + m - 1$ . By Riemann-Hurwitz, we have

$$2\rho + m - 2 = G - 1 \geq d(g - 1) = (d - 2)(g - 1) + 2g - 2$$

and thus,  $m \geq (d - 2)(g - 1) + 2(g - \rho)$ . Since  $g > \rho$  and  $g \geq 2$ , this implies  $m \geq d$ .

We will separate the cases when  $m = d$  and  $m > d$ . We see from the above that if  $m = d$ , then  $g = 2$  and  $\rho = 1$ , since we have assumed that  $d \geq 3$ . Also the above inequality from Riemann-Hurwitz must be an equality. That is  $f$  is etale.

So, now on we will assume that  $m > d$ . Then  $\deg V_P < 0$  for any  $P \in Y$ . Let  $M$  be the saturation of  $\mathcal{O}_C$  in  $V_P$ . We have then an exact sequence  $0 \rightarrow M \rightarrow V_P \rightarrow M' \rightarrow 0$  with  $M, M'$  line bundles on  $C$  and since  $\deg M \geq 0$ ,  $\deg M' < 0$ . In particular the map  $H^0(V_P) \rightarrow H^0(M') = 0$  is zero. So  $H^0(M) = H^0(V_P)$  and if Prill's problem is false for  $f$  we have  $h^0(V_P) > 1$  for a general  $P \in Y$ . This implies that the inclusion of  $\mathcal{O}_C$  in  $M$  is strict.

Consider the map  $X \times Y \xrightarrow{(\pi, Id)=\phi} C \times Y$ . Let  $\Gamma \subset X \times Y$  be the graph of  $f$ . Also let  $p : C \times Y \rightarrow C$  and  $q : C \times Y \rightarrow Y$  be the natural projections. We have an exact sequence

$$0 \rightarrow \mathcal{O}_{X \times Y} \rightarrow \mathcal{O}_{X \times Y}(\Gamma) \rightarrow \Gamma|_{\Gamma} \rightarrow 0.$$

Let  $D$  be the image of  $\Gamma$  in  $C \times Y$ . Then we claim that the map  $\Gamma \rightarrow D$  is birational. If not, since the composite  $\Gamma \rightarrow D \xrightarrow{p} C$  is just  $\pi$  which has degree two, we see that  $D \rightarrow C$  must be birational. But  $C$  is smooth and thus  $D \rightarrow C$  must be an isomorphism. But, we have a morphism  $D \xrightarrow{q} Y$  and thus we get a non-constant morphism from  $C \rightarrow Y$ . This is absurd since  $\rho < g$ . Taking direct images, we get an exact sequence,

$$0 \rightarrow \phi_*\mathcal{O}_{X \times Y} \rightarrow \phi_*\mathcal{O}_{X \times Y}(\Gamma) \rightarrow \phi_*\Gamma|_{\Gamma} \rightarrow 0.$$

Notice that  $\phi_*\mathcal{O}_{X \times Y}(\Gamma) = E$  is a rank two vector bundle on  $C \times Y$  since  $\phi$  is a two to one map. Also  $\phi_*\mathcal{O}_{X \times Y}$  is just the pull back of  $\mathcal{O}_C \oplus L$  by  $p$ . Identifying the pull back of  $\mathcal{O}_C$  as  $\mathcal{O}_{C \times Y}$  let us look at the inclusion of this sheaf in  $E$  and let  $F$  be the cokernel. I claim that  $F$  has torsion. If it has no torsion, then it is a line bundle outside

a finite set of points and thus restricting to a general point  $P \in Y$ , we get an exact sequence  $0 \rightarrow \mathcal{O}_C \rightarrow E|_P = V_P \rightarrow F|_P \rightarrow 0$ . Since  $F|_P$  is assumed to be a line bundle, we see that  $\mathcal{O}_C$  is saturated in  $V_P$ , which we have seen is not the case. Thus we see that  $F$  has torsion. Taking the inverse image of the torsion subsheaf of  $F$  in  $E$ , we get an exact sequence,  $0 \rightarrow A \rightarrow E \rightarrow E/A \rightarrow 0$  where  $\mathcal{O}_{C \times Y} \subset A$  and this inclusion is strict and  $E/A$  is torsion free. It is clear that the composite  $p^*L \rightarrow E \rightarrow E/A$  is an injection. Thus we get an inclusion  $A \oplus p^*L \subset E$  and let  $B$  be its cokernel. We have a commutative diagram,

$$\begin{array}{ccccccccc} 0 & \rightarrow & \mathcal{O}_{C \times Y} \oplus p^*L & \rightarrow & E & \rightarrow & \phi_*\Gamma|_\Gamma & \rightarrow & 0 \\ & & \downarrow & & \parallel & & \downarrow & & \\ 0 & \rightarrow & A \oplus p^*L & \rightarrow & E & \rightarrow & B & \rightarrow & 0 \end{array}$$

Thus by snake lemma we get an exact sequence,

$$0 \rightarrow A' \rightarrow \phi_*\Gamma|_\Gamma \rightarrow B \rightarrow 0$$

where  $A'$  is the cokernel of  $\mathcal{O}_{C \times Y} \subset A$ . Since this inclusion is strict, we see that  $A' \neq 0$ . Since  $\phi_*\Gamma|_\Gamma$  is torsion free as an  $\mathcal{O}_D$ -module we see that  $A'$  is supported on all of  $D$ . Since  $\phi : \Gamma \rightarrow D$  is birational we see that  $\phi_*\Gamma|_\Gamma$  is a line bundle on  $D$  for general points of  $D$  and thus  $A'$  is a line bundle on  $D$  at general points of  $D$ , since  $D$  is a smooth curve generically and these two sheaves are equal at general points of  $D$ . This implies that  $B$  is supported on a finite set of points of  $D$ . But,  $B$  is the quotient of a rank two vector bundle by another rank two vector bundle on a smooth surface and thus for homological reasons, either support of  $B$  is a divisor or empty. This implies that  $B = 0$ . So, we get  $A \oplus p^*L = E$ . Restricting to a general point  $P \in Y$  and calling the restricted bundle  $A_P$  we see that  $A_P \oplus L = V_P$ . This implies that  $\deg A_P = d$ . But, then  $\pi^*A_P \subset f^*\mathcal{O}_Y(P)$  and the first line bundle has degree  $2d$  and the latter  $d$ . This is clearly impossible.

If  $f$  is Galois, then the only case left to prove is when  $g = 2, \rho = 1$  and  $f$  is etale. Then as we saw,  $\pi_*\mathcal{O}_X = \mathcal{O}_Y \oplus L$  with  $\deg L = -d$ . Thus  $\deg V_P = 0$  and  $h^0(V_P) > 1$  implies  $V_P = \mathcal{O}_Y \oplus \mathcal{O}_Y$ . Thus  $h^0(V_P) = 2$  for a general point  $P \in Y$ . This contradicts Proposition 3. Q.E.D.

**Corollary 6.** *If  $f : X \rightarrow Y$  has degree 3, then Prill's problem has an affirmative answer.*

*Proof.* From Theorem 1, we may assume that  $X$  is not hyperelliptic. Also note that by Riemann-Hurwitz, since  $g \geq 2, G \geq 4$ . The morphism  $f$  induces a morphism  $Y \rightarrow J^3X$  where  $J^3X$  is the variety parametrizing line bundles of degree 3. Also, the image is contained in  $W_3^1(X)$  if Prill's

problem had a negative answer for this  $f$ . Since  $X$  is not hyperelliptic, by Martens Theorem [Mar67] (also see pp 191-2 [ACGH85]) we have  $\dim W_3^1(X) \leq 0$ . Thus image of  $Y$  in  $J^3 X$  is constant. In other words, for any two points  $P, Q \in Y$ ,  $f^*(P) \sim f^*(Q)$ . This is impossible since  $g > 0$ . Q.E.D.

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