## VI. Canonical forms

## VI.A. The minimal polynomial of a transformation

The statement that $\vec{v}_{0}$ is an eigenvector of $A \in M_{n}(\mathbb{R})$ with eigenvalue 3 can be written

$$
(3 \mathbb{I}-A) \vec{v}_{0}=0 .
$$

That is, if you plug $A$ into the polynomial $3-x$, then the resulting matrix annihilates $\vec{v}_{0}$. Is there a corresponding statement for all vectors $\vec{v} \in \mathbb{R}^{n}$ ? That is, a polynomial into which we may plug $A$ to get the zero matrix (which is the only matrix annihilating all vectors)?

Consider $M_{n}(\mathbb{R})$ as a vector space over $\mathbb{R}$ of dimension $n^{2} .{ }^{1}$ Apparently the $n^{2}+1$ "vectors"

$$
\mathbb{I}, A, A^{2}, \ldots, A^{\left(n^{2}\right)}
$$

cannot all be independent. So there is a relation

$$
\alpha_{0} \mathbb{I}+\alpha_{1} A+\alpha_{2} A^{2}+\ldots+\alpha_{\left(n^{2}\right)} A^{\left(n^{2}\right)}=0
$$

where not all $\alpha_{i}$ are zero. That is, $A$ is "annihilated" by a polynomial $q(x)$ of degree $n^{2}$, in the sense that $q(A)$ is the zero matrix.

However we should (at least some of the time) be able to do better than this. If $A$ is diagonalizable with eigenvalues $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{n}$, then

$$
\left(\lambda_{1} \mathbb{I}-A\right)\left(\lambda_{2} \mathbb{I}-A\right) \cdots\left(\lambda_{n} \mathbb{I}-A\right) \vec{v}=0
$$

for all $\vec{v} \in \mathbb{R}^{n}$. (Write $\vec{v}=\beta_{1} \vec{v}_{1}+\ldots+\beta_{n} \vec{v}_{n}$ in terms of the eigenbasis; then use the fact that all the $\left(\lambda_{i} \mathrm{II}-A\right)$ commute with one another.) Multiplying this out gives a polynomial in $A$ of degree $n$, not $n^{2}$.

[^0]Similarly, if $A$ is of the (non-diagonalizable!) form

$$
\left(\begin{array}{cccc}
0 & 1 & & * \\
& \ddots & \ddots & \\
& & \ddots & 1 \\
0 & & & 0
\end{array}\right)
$$

then we find it satisfies $A^{n}=0(A$ is nilpotent $)$. In fact, the characteristic polynomials $(=\operatorname{det}(\lambda \mathbb{I}-A))$ in these two cases are

$$
\left(\lambda-\lambda_{1}\right) \cdots\left(\lambda-\lambda_{n}\right) \quad \text { and } \quad \lambda^{n},
$$

so the following more general result should not surprise you:
VI.A.1. THEOREM (Cayley-Hamilton). Let $A \in M_{n}(F)$ be a square matrix over any field. Then $A$ is annihilated by its own characteristic polynomial, i.e. if $f_{A}(\lambda):=\operatorname{det}(\lambda \mathbb{I}-A)$ then $f_{A}(A)=0$.
VI.A.2. Remark. Thus we can always do much better than $n^{2}$, since $\operatorname{deg} f_{A}=n$. However, the proof is not as easy as

$$
\operatorname{det}(A I-A)=\operatorname{det} 0=0
$$

This is cheating. Substituting in $A$ before you take the determinant is not the same as doing so after taking the determinant. We now give two correct proofs.

FIRST PROOF OF VI.A.1. We need to introduce (a little more consciously than before) matrices whose entries are polynomials in $\lambda$. Let $\mathrm{F}[\lambda]$ denote polynomials of arbitrary degree in $\lambda$ with coefficients in $F$, and consider $M \in M_{n}(F[\lambda])$. The tricky thing is that we must avoid dividing by $\lambda$ — polynomials are not invertible like real numbers.

One definition that involved no inverting of anything was that of the adjugate of $A$, whose $i j^{\text {th }}$ entry was defined to be

$$
\operatorname{det}\left\{j i^{\text {th }} \text { minor of } A\right\} \times(-1)^{i+j}
$$

In §IV.C, we had from Cramer's rule (assuming $A \in M_{n}(\mathrm{~F})$, not $\left.M_{n}(F[\lambda])\right)$ the relationship

$$
A^{-1}=\frac{1}{\operatorname{det} A} \operatorname{adj} A, \text { i.e. } A\left(\frac{1}{\operatorname{det} A} \operatorname{adj} A\right)=\mathbb{I}
$$

Clearing the denominator yields something which still holds ${ }^{2}$ for $M$ :

$$
M(\operatorname{adj} M)=(\operatorname{det} M) I
$$

Now let $M=\lambda I I-A$. We have

$$
(\lambda \mathbb{I}-A)[\operatorname{adj}(\lambda \mathbb{I}-A)]=\operatorname{det}(\lambda \mathbb{I}-A) \mathbb{I}=f_{A}(\lambda) \cdot \mathbb{I} .
$$

One may decompose any $M \in M_{n}(F[\lambda])$ into powers of $\lambda, M=$ $\sum \lambda^{k} B_{k}$ where $B_{k} \in M_{n}(F)$ : for example,

$$
\left(\begin{array}{ll}
1 & \lambda \\
0 & 1
\end{array}\right)=\left(\begin{array}{ll}
1 & 0 \\
0 & 1
\end{array}\right)+\lambda\left(\begin{array}{ll}
0 & 1 \\
0 & 0
\end{array}\right)
$$

We do this for

$$
\operatorname{adj}(\lambda \mathbb{I}-A)=\sum_{k=0}^{n-1} \lambda^{k} S_{k}
$$

and write also

$$
f_{A}(\lambda)=\sum_{j=0}^{n} a_{j} \lambda^{j}
$$

We have

$$
(\lambda \mathbb{I}-A)\left(\sum_{k=0}^{n-1} \lambda^{k} S_{k}\right)=\sum_{j=0}^{n} a_{j} \lambda^{j} \mathbb{I}
$$

or

$$
\begin{aligned}
&-A S_{0}+\lambda\left(S_{0}-A S_{1}\right)+\lambda^{2}\left(S_{1}\right.\left.-A S_{2}\right)+\ldots \\
&+\lambda^{n-1}\left(S_{n-2}-A S_{n-1}\right)+\lambda^{n} S_{n-1} \\
&=a_{0} \mathbb{I}+a_{1} \lambda \mathbb{I}+a_{2} \lambda^{2} \mathbb{I}+\ldots+a_{n-1} \lambda^{n-1} \mathbb{I}+a_{n} \lambda^{n} \mathbb{I} .
\end{aligned}
$$

[^1]You may equate "coefficients" of like powers of $\lambda$, even though they are matrices (just by doing so entry by entry):

$$
\begin{gathered}
a_{0} \mathbb{I}=-A S_{0}, \quad a_{1} \mathbb{I}=S_{0}-A S_{1}, \quad a_{2} \mathbb{I}=S_{1}-A S_{2}, \ldots, \\
a_{n-1} \mathbb{I}=S_{n-2}-A S_{n-1}, \quad a_{n} \mathbb{I}=S_{n-1} .
\end{gathered}
$$

To show $f_{A}(A)=0$, write

$$
\begin{aligned}
& f_{A}(A)=f_{A}(A) \mathbb{I}=a_{0} \mathbb{I}+a_{1} A \mathbb{I}+a_{2} A^{2} \mathbb{I}+\ldots+a_{n} A^{n} \mathbb{I} \\
&=a_{0} \mathbb{I}+A\left(a_{1} \mathbb{I}\right)+A^{2}\left(a_{2} \mathbb{I}\right)+\ldots+A^{n-1}\left(a_{n-1} \mathbb{I}\right)+A^{n}\left(a_{n} \mathbb{I}\right) \\
&=-A S_{0}+A\left(S_{0}-A S_{1}\right)+A^{2}( \left.S_{1}-A S_{2}\right)+\ldots \\
&+A^{n-1}\left(S_{n-2}-A S_{n-1}\right)+A^{n} S_{n-1} \\
&=-A S_{0}+A S_{0}-A S_{1}+A S_{1}-A S_{2}+\ldots \\
& \quad+A^{n-1} S_{n-2}-A^{n} S_{n-1}+A^{n} S_{n-1} \\
&=0 .
\end{aligned}
$$

SECOND PROOF OF VI.A.1. Here's a more abstract approach.
Start with a basis $\mathcal{B}=\left\{\vec{v}_{1}, \ldots, \vec{v}_{n}\right\}$ of $\mathrm{F}^{n}$, and a transformation $T$ : $\mathrm{F}^{n} \rightarrow \mathrm{~F}^{n}$, with $[T]_{\mathcal{B}}=A$. We show $f_{A}(T)$ is the zero transformation. By definition

$$
T \vec{v}_{i}=\sum_{j} A_{j i} \vec{v}_{j}
$$

which we can rewrite

$$
\sum_{j}\left(\delta_{i j} T-A_{j i}\right) \vec{v}_{j}=0
$$

Set $B_{i j}=\delta_{i j} T-A_{j i}$ (or $B=T I I-{ }^{t} A$ ); the entries of $B$ are formal polynomials in the transformation $T$. The above equation becomes

$$
\sum_{j} B_{i j} \vec{v}_{j}=0
$$

while we have also

$$
\operatorname{det}(B)=f_{A}(T)
$$

It is therefore sufficient to show $(\operatorname{det} B) \vec{v}_{k}=0$ for all $k$.
Let $\tilde{B}=\operatorname{adj} B$, so that

$$
\sum_{i} \tilde{B}_{k i} B_{i j}=\delta_{k j} \operatorname{det} B
$$

This time the calculation is far less messy:

$$
\begin{aligned}
& (\operatorname{det} B) \vec{v}_{k}=\sum_{j} \delta_{k j}(\operatorname{det} B) \vec{v}_{j}=\sum_{j}\left(\sum_{i} \tilde{B}_{k i} B_{i j}\right) \vec{v}_{j} \\
= & \sum_{i, j} \tilde{B}_{k i} B_{i j} \vec{v}_{j}=\sum_{i} \tilde{B}_{k i}\left(\sum_{j} B_{i j} \vec{v}_{j}\right)=\sum \tilde{B}_{k i} \cdot 0=0 .
\end{aligned}
$$

The obvious question after Cayley-Hamilton is "can we ever do better than a polynomial of degree $n$ ?", i.e. find a nonzero polynomial of lower degree that annihilates $A$.
VI.A.3. ExAMPLE. Consider the matrix

$$
A=\left(\begin{array}{lll}
1 & 1 & 1 \\
1 & 1 & 1 \\
1 & 1 & 1
\end{array}\right) .
$$

Since

$$
\left(\begin{array}{lll}
1 & 1 & 1 \\
1 & 1 & 1 \\
1 & 1 & 1
\end{array}\right)\left(\begin{array}{lll}
1 & 1 & 1 \\
1 & 1 & 1 \\
1 & 1 & 1
\end{array}\right)=3\left(\begin{array}{lll}
1 & 1 & 1 \\
1 & 1 & 1 \\
1 & 1 & 1
\end{array}\right)
$$

or $A^{2}-3 A=0$, we find that $q(x)=x^{2}-3 x$ annihilates $A$. Notice that $x^{2}-3 x=x(x-3)$ divides the characteristic polynomial $f_{A}(x)=x^{2}(x-3)$ for this $A$.
VI.A.4. Definition. The minimal polynomial $m_{A}$ of $A$ is the (unique) nonzero monic ${ }^{3}$ polynomial $m$ of lowest possible degree, such that $m(A)=0$. Cayley-Hamilton $\Longrightarrow \operatorname{deg} m_{A} \leq n$ for $n \times n$ matrices $A$.

[^2]Uniqueness of $m_{A}$. Let $d=$ the lowest possible degree mentioned above. If ' $m$ and $m$ are two distinct monic polynomials of degree $d$, which annihilate $A$, then ${ }^{\prime} m(x)-m(x)=$

$$
\begin{gathered}
=\left(x^{d}+{ }^{\prime} a_{d-1} x^{d-1}+\ldots+{ }^{\prime} a_{0}\right)-\left(x^{d}+a_{d-1} x^{d-1}+\ldots+a_{0}\right) \\
=\left({ }^{\prime} a_{d-1}-a_{d-1}\right) x^{d-1}+\ldots+\left({ }^{\prime} a_{0}-a_{0}\right) .
\end{gathered}
$$

Dividing by the first nonzero ${ }^{\prime} a_{i}-a_{i}$ (reading from left to right) gives a monic polynomial annihilating $A$ :

$$
{ }^{\prime \prime} m(A)=\frac{1}{\prime a_{i}-a_{i}}\left({ }^{\prime} m(A)-m(A)\right)=0
$$

But $\operatorname{deg}\left({ }^{\prime \prime} m\right)<d$, which contradicts the definition (that is, the minimality) of $d$.

Further properties of $\boldsymbol{m}_{A}$. Now recall that long division of polynomials, say of $g$ into $f$, gives a quotient $q$ and remainder $r$ (where the remainder has degree strictly less than that of $g$ ), such that $\frac{f}{g}=$ $q+\frac{r}{g}$. If $r=0$ then we write $g \mid f(g$ divides $f)$, otherwise $g \nmid f$. We may write this "division algorithm" as a polynomial equation

$$
f=g q+r, \operatorname{deg} r<\operatorname{deg} g .
$$

VI.A.5. PROPOSITION. The minimal polynomial of $A$ divides its characteristic polynomial, $m_{A}(\lambda) \mid f_{A}(\lambda)$.

PROOF. By the division algorithm we may write

$$
f_{A}(\lambda)=m_{A}(\lambda) \cdot q(\lambda)+r(\lambda), \operatorname{deg} r<\operatorname{deg} m_{A} .
$$

But then

$$
r(A)=f_{A}(A)-m_{A}(A) \cdot q(A)=0-0 \cdot q(A)=0
$$

and $r$ annihilates $A$. Because its degree is less than that of $m_{A}, r$ must be zero (as a polynomial) - otherwise we have contradicted minimality of $m_{A}$. Therefore $f_{A}=m_{A} \cdot q$ and we're done.
VI.A.6. REMARK. By the same proof, $m_{A}$ divides any polynomial $p$ satisfying $p(A)=0$.

In §VI.B we shall give an algorithm for finding $m_{A}$. The idea is to perform elementary row and column operations (suitably defined) on $\lambda I I-A$ to put it in a new form:
VI.A.7. Definition. A matrix $M \in M_{n}(\mathrm{~F}[\lambda])$ (with polynomial entries) is in normal form iff it looks like this:

$$
\left(\begin{array}{ccc}
f_{1}(\lambda) & & 0 \\
& \ddots & \\
0 & & f_{n}(\lambda)
\end{array}\right)
$$

where $f_{1}\left|f_{2}\right| \ldots \mid f_{n}$ and each $f_{i}$ is a monic polynomial or zero. ${ }^{4}$ (So the only possible nonzero scalar is 1 , and in the sorts of normal forms we'll encounter the first few $\left\{f_{i}\right\}$ will usually be 1.) A typical example is $\operatorname{diag}\left\{1,1,1, \lambda, \lambda(\lambda-2)^{2}\right\}$.

In fact we shall give an algorithm associating to any square matrix $M$ with entries in $\mathrm{F}[\lambda]$, a matrix $n f(M)$ in normal form.

We need one more
VI.A.8. Definition. For $M \in M_{n}(F[\lambda])$, let

- $\delta_{k}(M):=$ the monic $g c d$ (= greatest common divisor) of the determinants of all $k \times k$ submatrices ${ }^{5}$ of $M$, and
- $\Delta_{k}(M):=\delta_{k}(M) / \delta_{k-1}(M)$. These $\Delta_{k}$ are called the invariant factors of $M$.
VI.A.9. REMARK. The $\Delta_{k}(M)$ are polynomials (as will be implied by the Theorem below). Note that

$$
\delta_{n-1}=\text { the monic } g c d \text { of the entries of } \operatorname{adj}(M)
$$

and

$$
\delta_{n}(M)=C^{-1} \cdot \operatorname{det}(M)
$$

[^3]where $C$ is a scalar - namely, the coefficient of the highest power of $\lambda$ in $\operatorname{det}(M)$. If $M=\lambda I-A$ then $\operatorname{det}(M)$ is monic; thus $C=1$ and
$$
\delta_{n}(\lambda \mathbb{I}-A)=\operatorname{det}(\lambda \mathbb{I}-A)=f_{A}(\lambda) .
$$
VI.A.10. THEOREM. If
\[

n f(M)=\left($$
\begin{array}{lll}
f_{1}(\lambda) & & \\
& \ddots & \\
& & f_{n}(\lambda)
\end{array}
$$\right)
\]

then $\Delta_{k}(M)=f_{k}(\lambda)$. That is, the invariant factors of $M$ are given by the diagonal entries of $n f(M)$.

The Theorem will be proved in the next section.
Clearly then $f_{1}(\lambda) \cdots f_{n}(\lambda)=$
$\Delta_{1}(M) \cdots \cdot \Delta_{n}(M)=\delta_{1}(M) \cdot \frac{\delta_{2}(M)}{\delta_{1}(M)} \cdots \cdots \frac{\delta_{n}(M)}{\delta_{n-1}(M)}=\delta_{n}(M)$
and we have a
VI.A.11. Corollary. If $M=\lambda I I-A$ then $f_{1}(\lambda) \cdots \cdot f_{n}(\lambda)=$ $\operatorname{det}(\lambda I I-A)$. That is, the product of the (diagonal) entries of $n f(\lambda I I-A)$ is $f_{A}(\lambda)$.

Set
$\delta_{A}(\lambda):=\delta_{n-1}(\lambda \mathbb{I}-A)=$ monic $g c d$ of entries of $\operatorname{adj}(\lambda \mathbb{I}-A)$.
What we would like now is to prove the following
VI.A.12. Proposition. The top invariant factor of $\lambda I I-A$ is the minimal polynomial of $A$ :

$$
m_{A}(\lambda)=\Delta_{n}(\lambda \mathbb{I}-A)=\frac{f_{A}(\lambda)}{\delta_{A}(\lambda)}
$$

According to this statement, in order to find $m_{A}(\lambda)$ it suffices to row/column-reduce $\lambda \mathbb{I}-A$ to normal form (as described in the next section), and pick out the last (diagonal) entry. The proof will be independent of Theorem VI.A. 10.

For small $n$, it can actually be practical to apply the Proposition directly to compute $m_{A}$. For $A$ as in Example VI.A.3, the entries of $\operatorname{adj}(\lambda I I-A)$ are all $\lambda^{2}-2 \lambda$ or $\lambda$, whose gcd is $\lambda$. Dividing $f_{A}(\lambda)=$ $\lambda^{2}(\lambda-3)$ by this gives $m_{A}(\lambda)=\lambda(\lambda-3)$.

Proof of Prop. VI.A. 12 (in Four steps).
Step I Show $f_{A}(\lambda) / \delta_{A}(\lambda)$ is a polynomial (that is, $\delta_{A} \mid f_{A}$ ).
Let

$$
\begin{equation*}
B:=\operatorname{adj}(\lambda \mathbb{I}-A)=\delta_{A}(\lambda) M \tag{VI.A.13}
\end{equation*}
$$

where the $g c d$ of the entries of $M$ is 1 (see definition of $\delta_{A}(\lambda)$ above). By "Cramer's rule" (cf. Exercise (5) below) we know the adjoint gives a "partial" inverse to $(\lambda I I-A)$, i.e.

$$
\operatorname{det}(\lambda \mathbb{I}-A) \mathbb{I}=(\lambda \mathbb{I}-A) B
$$

or (using (VI.A.13))

$$
\begin{equation*}
f_{A}(\lambda) \mathbb{I}=\delta_{A}(\lambda)(\lambda \mathbb{I}-A) M \tag{VI.A.14}
\end{equation*}
$$

So $(\lambda \mathbb{I}-A) M$ must be of the form $\Delta(\lambda) \cdot \mathbb{I}($ for some polynomial $\Delta)$, and the polynomial equation

$$
f_{A}(\lambda)=\delta_{A}(\lambda) \Delta(\lambda)
$$

must hold, and we have finished the first step. (Notice we have proved directly that $\Delta_{n}(\lambda I I-A)[=\Delta(\lambda)]$ is a polynomial.)

Step II Show $m_{A}(\lambda) \mid \Delta(\lambda)$.
From (VI.A.14) we have that

$$
\left(\delta_{A}(\lambda) \Delta(\lambda)\right) \mathbb{I}=\delta_{A}(\lambda)(\lambda \mathbb{I}-A) M
$$

or

$$
\begin{equation*}
\Delta(\lambda) \mathbb{I}=(\lambda \mathbb{I}-A) M \tag{VI.A.15}
\end{equation*}
$$

Now while one cannot simply substitute $A$ for $\lambda$ (the entries of $M$ are polynomials in $\lambda$ too!), one may essentially repeat the argument we used in our first proof of Cayley-Hamilton (writing out $\Delta(\lambda)=$
$\sum b_{j} \lambda^{j}$ and $M=\sum \lambda^{k} B_{k}$ ) to show that

$$
\Delta(A)=0
$$

But then by Remark VI.A. 6 above, $m_{A}$ divides any polynomial with this property, and we are done.

Step III Show $\Delta(\lambda) \mid m_{A}(\lambda)$.
Since by definition

$$
m_{A}(A)=0,
$$

we have for some matrix $Q \in M_{n}(F[\lambda])$

$$
m_{A}(\lambda) \mathbb{I}=Q(\lambda \mathbb{I}-A) .
$$

(See Remark VI.A.16.) Multiplying on the right by $M$ and using (VI.A.15) gives

$$
m_{A}(\lambda) M=\Delta(\lambda) Q
$$

Consider the monic $g c d$ 's of the entries of the matrices on either side:

$$
m_{A}(\lambda)=\Delta(\lambda) \cdot \operatorname{gcd}\{\text { entries of } Q\},
$$

since $g c d\{$ entries of $M\}$ was 1 . This concludes step III.

## Step IV The end.

Since $m_{A}$ and $\Delta$ are both monic ( $\Delta$ is the quotient of two monic polynomials), and both divide each other, they must be equal.
VI.A.16. Remark. How do we know that $m_{A}(A)=0$ means that $m_{A}(\lambda) I$ is "divisible" by $(\lambda I I-A)$ in a matrix ring which is not even commutative? The trick is to look just at the (commutative) subring $R$ consisting of polynomials in $A$ and $\lambda$. If you are comfortable with rings, then consider the homomorphism $\theta: R \rightarrow R /(\lambda-A)$, with kernel simply the ideal $(\lambda-A)$ consisting of multiples of $\lambda-A$. In the quotient, $\lambda$ is identified with $A$; and so $\theta\left(m_{A}(\lambda)\right)=\theta\left(m_{A}(A)\right)=$ 0 . Consequently $m_{A}(\lambda)$ is a multiple of $\lambda-A$, as required.

Alternatively, writing $m_{A}(\lambda) \mathbb{I}=\lambda^{\mu} \mathbb{I}+\sum_{k=0}^{\mu-1} \lambda^{k} \alpha_{k} \mathbb{I}$ and

$$
Q(\lambda \mathbb{I}-A)=\sum_{k=0}^{\mu-1} \lambda^{k} Q_{k}(\lambda \mathbb{I}-A)
$$

with $Q_{k} \in M_{n}(F)$, we can try to solve for $Q_{k}$ such that these two expressions are equal. One finds that $Q_{\mu-1}=\mathbb{I}, Q_{\mu-2}=\alpha_{\mu-1} \mathbb{I}+A$, $Q_{\mu-3}=\alpha_{\mu-2} \mathbb{I}+\alpha_{\mu-1} A+A^{2}, \ldots$, and

$$
Q_{0}=\alpha_{1} \mathbb{I}+\alpha_{2} A+\cdots+\alpha_{\mu-1} A^{\mu-2}+A^{\mu-1}
$$

This leaves the equality of the constant terms, which is

$$
\alpha_{0} \mathbb{I}=-Q_{0} A
$$

As you will readily verify, this is just the statement that $m_{A}(A)=0$.

## Exercises

(1) Verify Cayley-Hamilton for

$$
A=\left(\begin{array}{lll}
1 & 0 & 0 \\
1 & 1 & 0 \\
0 & 0 & 1
\end{array}\right)
$$

Then use Prop. VI.A. 12 and Defn. VI.A. 8 to directly find $m_{A}$.
(2) Same as the last Exercise, for

$$
A=\left(\begin{array}{ccc}
1 & 0 & -1 \\
2 & 1 & 0 \\
1 & -1 & 1
\end{array}\right)
$$

(3) Suppose $\operatorname{det} A \neq 0$. Use Cayley-Hamilton to show that $A$ is invertible and that $A^{-1}$ is given by a certain polynomial in $A$.
(4) Let $p(x)=x^{n}+a_{n-1} x^{n-1}+\cdots+a_{1} x+a_{0}$ be a polynomial, and $V$ be a vector space with basis $\mathcal{B}=\left\{\vec{v}_{1}, \ldots, \vec{v}_{n}\right\}$. Define $T: V \rightarrow V$ by $T \vec{v}_{i}=\vec{v}_{i+1}(i=1, \ldots, n-1)$ and

$$
T \vec{v}_{n}=-a_{n-1} \vec{v}_{n}-a_{n-2} \vec{v}_{n-1}-\cdots-a_{1} \vec{v}_{2}-a_{0} \vec{v}_{1}
$$

(a) Show that $p(T)=0$. [Hint: substitute $\vec{v}_{2}=T \vec{v}_{1}$, etc., into the equation for $T \vec{v}_{n}$.]
(b) Determine $A:=[T]_{\mathcal{B}}$.
(c) Show that $p(x)=m_{A}(x)=f_{A}(x)$. [Hint: suppose that $q(T)=$ 0 for a polynomial $q$ of degree $<n$.]
(5) Prove that for a matrix with entries in $F[\lambda]$ (or really, any commutative ring), we have

$$
M \cdot \operatorname{adj}(M)=\operatorname{det}(M) \mathbb{I}=\operatorname{adj}(M) \cdot M
$$

[Hint: all you need is the fact that by definition, $[\operatorname{adj}(M)]_{i j}=$ $(-1)^{i+j} \operatorname{det}\left(M_{\overparen{j i}}\right)$, together with the Laplace expansion formulas for det and the property of det that a repeated row makes it zero. ${ }^{6}$ The point is to not use Cramer's rule.]

[^4]
[^0]:    ${ }^{1}$ The "standard" basis of this vector space would be the matrices with 1 in the $i j^{\text {th }}$ place and 0 's in the other places, $i, j=1, \ldots, n$. Clearly there are $n^{2}$ of these.

[^1]:    ${ }^{2}$ Technically, applying Cramer as we did in §IV.C requires knowing $A$ is invertible, which typically won't be true for $M$. See Exercise (5) below for a way around this.

[^2]:    ${ }^{3}$ Monic means that the coeffficient of the highest power of $\lambda$ (or $x$ ) in the polynomial is 1 .

[^3]:    4The "zero" possibility will not occur when $M=n f(\lambda I I-A)$ (the main application), but must be included to state more general results. Note that if $f_{k}=0$, then $f_{k+1}=\cdots=f_{n}=0$ as well, as 0 only divides 0 .
    ${ }^{5}$ The submatrices are obtained by blocking out any $(n-k)$ rows and $(n-k)$ columns. Their determinants are frequently called $k \times k$ minors. If these are all zero, we put $\delta_{k}=0=\Delta_{k}$; but again, this cannot happen for $M=\lambda \mathbb{I}-A$.

[^4]:    ${ }^{6}$ Both of these follow from the definition (IV.A.5) of det for matrices with coefficients in any commutative ring, like $\mathrm{F}[\lambda]$.

