

## Lectures 12-16

### 1 Introduction

The main purpose of this note is to define *Alexander polynomials* of a link  $K$ . As a first step toward the definition, we associate a topological space  $X_\infty(K)$  to  $K$  with a homeomorphism  $\Phi : X_\infty(K) \rightarrow X_\infty(K)$ . The pair  $(X_\infty(K), \Phi)$  defines a link invariant. (See Theorem 3.2.) By considering the first homology of  $X_\infty(K)$  and the action of  $\Phi$  on this homology group, we obtain an algebraic link invariant in the shape of a  $\mathbf{Z}[t^{-1}, t]$ -module. From this module, we construct simpler algebraic invariants. In Section 4. In Section 5, we introduce the notion of *Seifert forms* and explain how it can be used to compute the algebraic invariants introduced in the earlier sections.

### 2 Regular Neighborhoods of Embedded Surfaces in $\mathbf{R}^3$

Suppose  $\Sigma$  is a Seifert surface for an oriented link in  $S^3$ . We would like to see how a neighborhood of  $\Sigma$  looks like. We firstly start with a simpler case:

**Lemma 2.1.** *Suppose  $F$  is an embedding of the closed surface  $\Sigma_g$  in  $S^3$ . Then there is a neighborhood of  $F$  in  $X(K)$  which is homeomorphic to  $(-1, 1) \times \Sigma_g$ .*

*Proof.* Firstly we claim that orientability of  $F$  can be used to construct  $v : F \rightarrow \mathbf{R}^3$  such that for any  $x \in F$ , the vector  $v(x)$  is orthogonal to vectors to  $F$  at the point  $x$ . In fact, for each  $x$  there are exactly two vectors  $v_1$  and  $-v_1$  which have length 1 and are tangent to the tangent space of  $F$  at the point  $x$ . Let  $e_1$  and  $e_2$  form an oriented basis for the tangent space of  $F$  at the point  $x$ . Then exactly one of  $(v_1, e_1, e_2)$  and  $(-v_1, e_1, e_2)$  is an oriented basis for  $\mathbf{R}^3$ . In the former case, we define  $v(x) = v_1$ . Otherwise,  $v(x) = -v_1$ . Now we define a map  $\Phi : (-\varepsilon, \varepsilon) \times F \rightarrow S^3$  where  $\Phi(x, t)$  is equal to  $x + t \cdot v(x)$ . Clearly,  $\Phi|_{\{0\} \times F}$  gives the embedded surface  $F$ . Using the inverse function theorem from real analysis, one can see that if  $\varepsilon$  is small enough, then this map is a diffeomorphism. This verifies the claim.  $\square$

**Lemma 2.2.** *Suppose  $\Sigma$  is an embedding of the surface  $\Sigma_{g,d}$  in  $S^3$ . Then there is a neighborhood  $\mathcal{U}_\Sigma$  of  $\Sigma$  in  $S^3$  which has the form  $(-1, 1) \times \Sigma_{g,d}$ . Moreover, if we remove  $\Sigma$  from this neighborhood, then the resulting space is homeomorphic to  $\Sigma_{2g+d-1} \times (0, 1)$ .*

*Proof.* The boundary of  $\Sigma_{g,d}$  produces an oriented link  $K$  with  $d$  connected components in  $S^3$ . Let the link exterior  $X(K)$  be obtained by removing a small regular neighborhood of  $K$ . Let also  $N(K)$  be

the open subset given as the complement of  $X(K)$  in  $S^3$ . Then  $N(K)$  is homeomorphic to  $d$  copies of  $S^1 \times D^2$  and  $\Sigma \cap N(K)$  is homeomorphic to  $d$  copies of  $S^1 \times [0, 1)$ , where  $[0, 1)$  should be regarded as a ray from the center of  $D^2$  to a point in the boundary.

A modification of the proof of the last lemma shows that a neighborhood of  $\Sigma \cap X(K)$  is homeomorphic to  $(-1, 1) \times \Sigma_{g,d}$ . (The modification needs to be made in a neighborhood of the boundary of  $X(K)$ .) Then the desired neighborhood  $\mathcal{U}_\Sigma$  of  $\Sigma$  is obtained by taking the union of  $N(K)$  and the constructed neighborhood of  $\Sigma \cap X(K)$ . It is not hard to see that this neighborhood satisfies the required properties.  $\square$

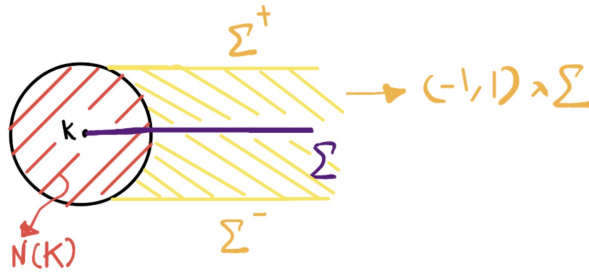


Figure 1: A schematic picture of the link  $K$  and the neighborhood  $\mathcal{U}_\Sigma$  of  $\Sigma$  given as the union of red and yellow regions. In fact, if we focus on a normal plane to  $K$ , then the above picture is accurate.

### 3 The Space $X_\infty(K)$

Suppose  $K$  is an oriented link and  $\Sigma$  is a Seifert surface for  $K$ . As before let  $X(K)$  be the exterior of  $K$  given by removing a small neighborhood of  $K$ . Then the intersection of  $\Sigma$  with  $X(K)$  is again a surface which is homeomorphic to  $\Sigma$  and its boundary lies on the boundary of  $X(K)$ . With a slight abuse of notation, we denote this embedded surface in  $X(K)$  with  $\Sigma$ , too. Using the proof of Lemma 2.2, we see that a neighborhood of  $\Sigma$  is diffeomorphic to  $\Sigma \times (-1, 1)$ . After removing this neighborhood from  $X(K)$  we obtain another 3-manifold with boundary  $Y(K)$  such that its boundary is the union of three pieces:

$$\partial Y(K) = \Sigma \times \{-1\} \cup K \times (-1, 1) \cup \Sigma \times \{1\}$$

Note that the middle term is given by removing an annulus neighborhood  $\Sigma \cap \partial X(K)$  from  $\partial X(K)$ . There are embeddings  $\phi^- : \Sigma \rightarrow \partial Y(K)$  and  $\phi^+ : \Sigma \rightarrow \partial Y(K)$  given by the first term and the third term in the above description of  $\partial Y(K)$ .

We wish to define a space  $X_\infty(K)$  as a quotient of infinitely many copies of  $Y(K)$ . For each integer  $i \in \mathbf{Z}$ , let  $Y_i(K)$  be a copy of  $Y(K)$  and  $\phi_i^- : \Sigma \rightarrow \partial Y_i(K)$  and  $\phi_i^+ : \Sigma \rightarrow \partial Y_i(K)$  be the corresponding embeddings of  $\Sigma$  in  $\partial Y_i(K)$ . Then define:

$$X_\infty(K) = (\cdots \cup Y_{i-1}(K) \cup Y_i(K) \cup Y_{i+1}(K) \cup \cdots) / \sim$$

where  $\sim$  identifies  $\phi_i^+(x)$  and  $\phi_{i+1}^-(x)$  for any  $i \in \mathbf{Z}$  and  $x \in \Sigma$ . That is to say, we glue each  $Y_i(K)$  to  $Y_{i-1}(K)$  and  $Y_{i+1}(K)$  respectively along  $\text{image}(\phi_i^-)$  and  $\text{image}(\phi_i^+)$ .

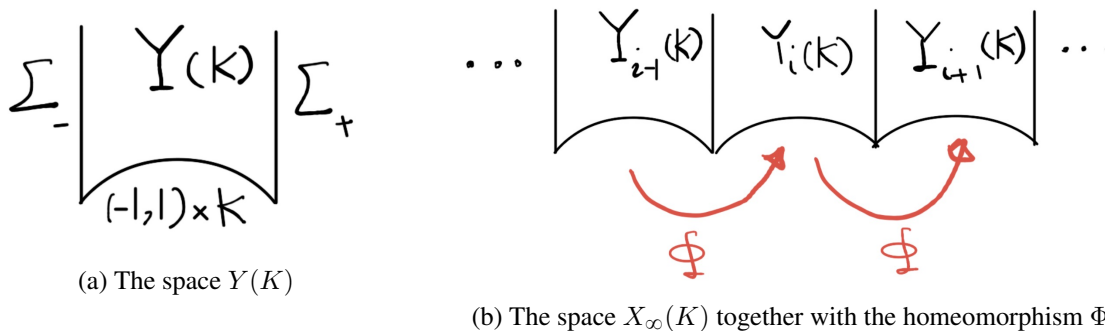


Figure 2: In these figures, the black (resp. red) components are part of the diagram  $D_1$  (resp.  $D_2$ ) for the link  $K_1$  (resp.  $K_2$ ).

There is an obvious homeomorphism  $\Phi : X_\infty(K) \rightarrow X_\infty(K)$  which maps  $Y_i(K)$  to  $Y_{i+1}(K)$ . This homeomorphism induces an isomorphism  $t : H_1(X_\infty(K)) \rightarrow H_1(X_\infty(K))$ . In particular, the abelian group  $H_1(X_\infty(K))$  is equipped with the structure of a  $\mathbf{Z}[t^{-1}, t]$ -module.

**Example 3.1.** In the case that  $K$  is the unknot, we may take a disc to be the Seifert surface of  $K$ . In this case,  $Y(K)$  is homeomorphic to  $[-1, 1] \times D^2$  with  $\phi^\pm : D^2 \rightarrow [-1, 1] \times D^2$  given by  $\phi^\pm(x) = (\pm 1, x)$ . In particular, the space  $X_\infty(K)$  associated to  $K$  is given by  $\mathbf{R} \times D^2$ .

**Theorem 3.2.** For any oriented link  $K$ , the space  $X_\infty(K)$  together with the homeomorphism  $\Phi$  is an invariant of  $K$  and does not depend on the choice of the Seifert surface  $\Sigma$ . In particular, the  $\mathbf{Z}[t^{-1}, t]$ -module  $H_1(X_\infty(K))$  is an invariant of  $K$ .

Later in the class, we prove this theorem using the theory of *covering spaces*. Before that we wish to answer the following questions:

1. What are some less complicated invariants that we can obtain from the  $\mathbf{Z}[t^{-1}, t]$ -module  $H_1(X_\infty(K))$ ?
2. How can we give descriptions of the  $\mathbf{Z}[t^{-1}, t]$ -module  $H_1(X_\infty(K))$ ?

## 4 Alexander Modules

Suppose  $R$  is a commutative ring with unit and  $M$  is an  $R$ -module. A finite presentation of  $M$  is an exact sequence of the following form:

$$F \xrightarrow{\alpha} E \xrightarrow{\pi} M \rightarrow 0$$

where  $E$  and  $F$  are free  $R$ -modules of finite ranks. In particular, the images of the basis elements of  $E$  under the map  $\pi$  determine a set of generators for  $M$  and any relation among these basis elements is a linear combination of the images of the basis elements of  $F$  under the map  $\alpha$ . If  $\{e_1, \dots, e_m\}$  and  $\{f_1, \dots, f_n\}$  are the bases for  $E$  and  $F$ , then we define the *presentation matrix*  $A = (a_j^i)_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}}$  of  $\alpha$  as follows:

$$\alpha(f_j) = \sum a_j^i e_i.$$

**Definition 4.1.** For an  $R$ -module  $M$  with a finite presentation, let  $A$  be defined as above. Then the  $r$ -th elementary ideal  $\mathcal{E}_r$  of  $M$  is defined to be the ideal generated by the minors of size  $(m-r+1) \times (m-r+1)$  of  $A$ . In the case that  $r > m$ ,  $\mathcal{E}_r$  is defined to be  $R$ , and if  $r \leq 0$ , we define  $\mathcal{E}_r = 0$ .

**Proposition 4.2.** ([Lic97, Theorem 6.1.]) *The ideal  $\mathcal{E}_r$  depends only on  $M$  and is independent of the choice of the finite presentation of  $M$ .*

For our purposes, the following algebraic facts are useful:

**Lemma 4.3.** *The ring  $\mathbf{Z}[t^{-1}, t]$  is a UFD and  $\mathbf{Q}[t^{-1}, t]$  is a PID.*

**Definition 4.4.** Given an oriented link  $K$ , the  $r$ -th elementary ideal  $\mathcal{E}_r$  of the  $\mathbf{Z}[t^{-1}, t]$ -module  $H_1(X_\infty(K))$  of  $M$  is called the  $r$ -th Alexander ideal of  $K$ . The  $r$ -th Alexander polynomial of  $K$  is a generator of the smallest principal ideal that contains  $\mathcal{E}_r$ . (Since  $\mathbf{Z}[t^{-1}, t]$  is a UFD, this is well-defined.) Among these polynomial invariants of  $K$ , the first Alexander polynomial of  $K$  is the distinguished one, and usually is called the Alexander polynomial of  $K$  and is denoted by  $\Delta_K(t)$ .

*Remark 4.5.* Any unit in  $\mathbf{Z}[t^{-1}, t]$  has the form  $\pm t^n$  for an integer  $n$ . Therefore, at least given the above definition, the Alexander polynomials are well-defined up to multiplication by elements of the form  $\pm t^n$ .

## 5 Alexander Polynomials and Seifert Form

In this part, we give an answer to the second question raised in the end of Section 3.

### 5.1 Homology of the Space $Y(K)$

Fix a Seifert surface  $\Sigma$  for  $K$  as before, and let  $\Sigma$  be homeomorphic to  $\Sigma_{g,d}$ . We firstly attempt to compute the homology group  $H_1(S^3 \setminus \Sigma)$ . Let  $\mathcal{U}_\Sigma$  be an open neighborhood of  $\Sigma$  given by Lemma 2.2. Then  $\mathcal{U}_\Sigma$  and  $S^3 \setminus \Sigma$  give an open covering of  $S^3$  and their intersection is homeomorphic to  $(0, 1) \times \Sigma_{2g+d-1}$ . The Mayer-Vietoris sequence implies that we have the following exact sequence:

$$\dots \rightarrow H_2(S^3) \rightarrow H_1((0, 1) \times \Sigma_{2g+d-1}) \xrightarrow{\Psi} H_1(S^3 \setminus \Sigma) \oplus H_1(\mathcal{U}_\Sigma) \rightarrow H_1(S^3) \rightarrow \dots$$

Since  $H_1(S^3) = H_2(S^3) = 0$  and  $(0, 1)$  is contractible, we have the following natural isomorphism:

$$\Psi : H_1(\Sigma_{2g+d-1}) \xrightarrow{\cong} H_1(S^3 \setminus \Sigma) \oplus H_1(\mathcal{U}_\Sigma)$$

By Lemma 2.2,  $\mathcal{U}_\Sigma$  is homeomorphic to  $(-1, 1) \times \Sigma_{g,d}$ . In particular,  $H_1(\Sigma) = \mathbf{Z}^{2g+d-1}$ . Since  $H_1(\Sigma_{2g+d-1}) = \mathbf{Z}^{2(2g+d-1)}$ , we conclude that  $H_1(S^3 \setminus \Sigma)$  is also isomorphic to  $\mathbf{Z}^{2g+d-1}$ . It is easy to see that the space  $Y(K)$  constructed in Section 3 has the same homotopy type as  $S^3 \setminus \Sigma$ . Therefore,  $H_1(Y(K))$  is also isomorphic to  $\mathbf{Z}^{2g+d-1}$ . Now that we obtained a good understanding of the homology groups  $H_1(Y(K))$ , we wish to study the homomorphisms  $\phi_*^+ : H_1(\Sigma) \rightarrow H_1(Y(K))$  and  $\phi_*^- : H_1(\Sigma) \rightarrow H_1(Y(K))$  induced by continuous maps  $\phi^+$  and  $\phi^-$ . To give a useful description for these maps, we need the notion of linking number, which is discussed in the next part.

## 5.2 Linking Number

Suppose  $K_1$  and  $K_2$  are two disjoint oriented knots in  $S^3$ . In particular,  $K_2$  represents an element of the first homology of  $X(K_1)$ , the knot exterior  $K_1$ . Recall that  $H_1(X(K_1))$  is isomorphic to  $\mathbf{Z}$  and is generated by the homology class of a meridian of  $K_1$ . Therefore,  $K_2$  is homologous to a multiple of the class of the meridian of  $K_1$ , and this multiple is called the *linking number* of  $K_1, K_2$ , and is denoted by  $\text{lk}(K_1, K_2)$ . More generally, if  $K_1$  (resp.  $K_2$ ) is an oriented link with connected components  $K_{1,1}, \dots, K_{1,m}$  (resp.  $K_{2,1}, \dots, K_{2,n}$ ), then:

$$\text{lk}(K_1, K_2) := \sum_{1 \leq i \leq m, 1 \leq j \leq n} \text{lk}(K_{1,i}, K_{2,j})$$

*Remark 5.1.* In Problem Set 3, we defined another notion of linking number using knot diagrams. These two definitions of linking number agree with each other. (See Problem Set 8.) It is clear from the definition in Problem Set 3 that  $\text{lk}(K_1, K_2) = \text{lk}(K_2, K_1)$ .

Now let  $\mathcal{U}_1$  and  $\mathcal{U}_2$  be two disjoint connected open subsets of  $S^3$ . Then we can use the linking number to define a pairing:

$$\text{lk} : H_1(\mathcal{U}_1) \times H_1(\mathcal{U}_2) \rightarrow \mathbf{Z}. \quad (5.2)$$

In fact, any homology class  $\alpha_i$  of  $\mathcal{U}_i$  can be represented by a simple closed curve  $K_i$ . Then  $\text{lk}(\alpha_1, \alpha_2)$  is defined to be the linking number of  $K_1$  and  $K_2$ . It is clear from the above definition and Remark 5.1 that the linking number of two disjoint knots  $K_1$  and  $K_2$  do not change if we change the homology class of one of the knots while preserving the other component. Therefore, the pairing in (5.2) is well-defined.

## 5.3 Seifert Form

Now we go back again to the setup of Subsection 5.1. Let  $K$  be an oriented link and  $\Sigma$  be a Seifert surface for  $K$ . Suppose also a neighborhood  $\mathcal{U}_\Sigma$  of  $\Sigma$  is given by Lemma 2.2. In particular,  $\mathcal{U}_\Sigma$  is homeomorphic to  $(-1, 1) \times \Sigma$ . We consider the pairing  $\text{lk}$  for the pair  $\mathcal{U}_1 = (-\frac{1}{3}, \frac{1}{3}) \times \Sigma$  and  $\mathcal{U}_2 = S^3 \setminus [-\frac{1}{2}, \frac{1}{2}] \times \Sigma$ . Since  $\Sigma \subset \mathcal{U}_1$  and  $Y(K) \subset \mathcal{U}_2$ , the linking number determines a pairing as follows:

$$p : H_1(\Sigma) \times H_1(Y(K)) \rightarrow \mathbf{Z}$$

**Lemma 5.3.** *The pairing  $p$  is non-degenerate. That is to say, if  $p(x, y) = 0$  for all choices of  $y$  (resp.  $x$ ), then  $x = 0$  (resp.  $y = 0$ ).*

*Proof.* The surface  $\Sigma$  is homeomorphic to  $\Sigma_{g,d}$ . In particular, we may use the standard basis  $e_1, e_2, \dots, e_{2g+d-1}$  of  $H_1(\Sigma_{g,d})$  to obtain a basis for  $H_1(\Sigma)$ . As it is shown in Figure 3, there are embedded paths  $\gamma_1, \gamma_2, \dots, \gamma_{2g+d-1}$  such that  $\gamma_i$  intersect  $e_i$  transversely at exactly one point and it is disjoint from  $e_j$  and  $\gamma_j$  for  $j \neq i$ . Moreover,  $\gamma_i$  intersect  $\partial\Sigma$  transversely and  $\gamma_i \cap \partial\Sigma$  consists of the endpoints of  $\gamma_i$ . We may find disjoint discs  $D_i$  such that  $D_i$  intersects  $\Sigma$  at exactly  $\gamma_i$  and the boundary  $D_i$ , denoted by  $f_i$  is contained in  $Y(K)$ . This implies that the linking number of  $e_i$  and  $f_j$  is equal to zero if  $i \neq j$  because  $f_j$

is null-homologous in the complement of  $e_i$ . Moreover,  $f_i$  in  $S^3 \setminus e_i$  is homologous to a meridional curve with one of the two orientations. Thus possibly after changing the orientation of  $f_j$ , we may assume that:

$$\text{lk}(e_i, f_j) = \delta_{i,j}. \quad (5.4)$$

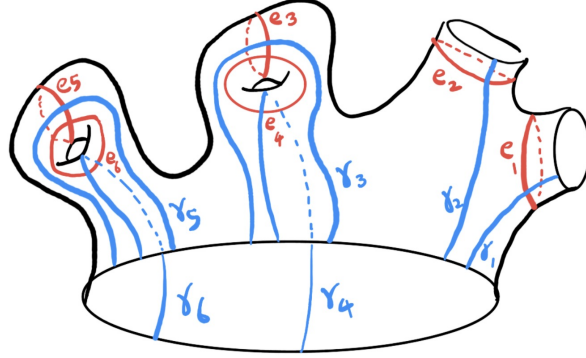


Figure 3: The standard basis  $\{e_1, e_2, e_3, e_4, e_5, e_6\}$  for  $\Sigma_{2,3}$  and the corresponding embedded paths  $\{\gamma_1, \gamma_2, \gamma_3, \gamma_4, \gamma_5, \gamma_6\}$

Now let  $x \in H_1(\Sigma)$  be chosen such that  $p(x, y) = 0$  for all  $y \in H_1(Y(K))$ . We can write  $x$  as a linear combination of the following form:

$$x = m_1 e_1 + \cdots + m_{2g+d-1} e_{2g+d-1}.$$

The identity  $p(x, f_i) = 0$  implies that  $m_i = 0$ . In particular,  $x = 0$ .

Next, assume that there is  $y \in H_1(Y(K))$  such that  $p(x, y) = 0$  for all choices of  $x$ . There is a non-trivial linear combination of  $f_1, \dots, f_{2g+d-1}$  and  $y$  as follows such that:

$$z = n_1 f_1 + \cdots + n_{2g+d-1} f_{2g+d-1} + n_{2g+d} y = 0$$

Otherwise, we have a subgroup of  $H_1(Y(K))$  which is isomorphic to  $\mathbf{Z}^{2g+d}$ , which is a contradiction because  $H_1(Y(K)) = \mathbf{Z}^{2g+d-1}$ . (See Subsection 5.1.) By taking the pairing of  $e_i$  and  $z$ , we can show that  $n_i = 0$  for  $1 \leq i \leq 2g + d - 1$ . Thus we have  $n_{2g+d} y = 0$ . Since  $H_1(Y(K))$  does not have any torsion element,  $y = 0$ . This verifies our claim.  $\square$

**Definition 5.5.** The *Seifert form* for the Seifert surface  $\Sigma$  of  $K$  is defined to be the pairing:

$$q : H_1(\Sigma) \times H_1(\Sigma) \rightarrow \mathbf{Z}$$

defined as:

$$q(\alpha, \beta) := p(\alpha, \phi_*^+(\beta)).$$

*Remark 5.6.* There is a homeomorphism of the knot exterior  $X(K)$  which maps  $\alpha$  to  $\phi_-(\alpha)$  and  $\phi_*^+(\beta)$  to  $\beta$ . In particular,  $q(\alpha, \beta)$  may be defined as the linking number of  $\phi_*^-(\alpha)$  and  $\beta$ .

**Example 5.7.** Suppose  $\Sigma$  is the Seifert surface that is obtained by applying Seifert algorithm to the diagram of trefoil given by Figure 4. Then the closed loops  $f$  and  $\beta$  form a basis for  $H_1(\Sigma)$ . Moreover, we have:

$$q(\alpha, \alpha) = -1, \quad q(\alpha, \beta) = 1, \quad q(\beta, \alpha) = 0, \quad q(\beta, \beta) = -1.$$

In particular, the intersection pairing is represented by the following matrix:

$$\begin{bmatrix} -1 & 1 \\ 0 & -1 \end{bmatrix}.$$

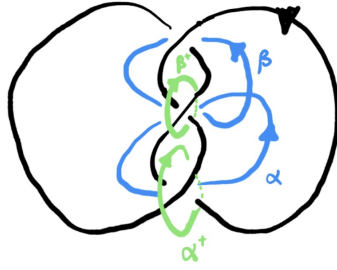


Figure 4: If  $\Sigma$  is the Seifert surface associated to the above digram, then  $\alpha, \beta$  form a basis for  $H_1(\Sigma)$ . The homology classes  $\phi^+(\alpha)$  and  $\phi^+(\beta)$  are represented by the oriented loops  $\alpha^+$  and  $\beta^+$ .

The non-degeneracy of the pairing  $p$  implies that the maps  $\phi_*^+ : H_1(\Sigma) \rightarrow H_1(Y(K))$  and  $\phi_*^- : H_1(\Sigma) \rightarrow H_1(Y(K))$  can be described in terms of the Seifert form. We fix a basis  $e_1, \dots, e_{2g+d-1}$  for  $H_1(\Sigma)$  and analogous to the proof of 5.3 we fix a basis  $f_1, \dots, f_{2g+d-1}$  for  $H_1(Y(K))$  such that:

$$p(e_i, f_j) = \delta_{i,j}.$$

Then the definition of the Seifert form implies that:

$$\phi_*^+(e_j) = \sum_i Q_j^i f_i. \quad (5.8)$$

where  $Q_j^i := q(e_i, e_j)$ . Remark 5.6 implies that we also have:

$$\phi_*^-(e_j) = \sum_i Q_i^j f_i. \quad (5.9)$$

**Proposition 5.10.** A presentation matrix for the the  $\mathbf{Z}[t^{-1}, t]$ -module  $H_1(X_\infty(K))$  is given by  $-Q + tQ^t$  where  $Q = (Q_j^i)_{1 \leq i \leq 2g+d-1, 1 \leq j \leq 2g+d-1}$ .

*Proof.* Suppose  $U, V$  be subspaces of  $X_\infty(K)$  given as follows:

$$U = \bigcup_i Y_{2i}(K) \quad V = \bigcup_i Y_{2i+1}(K).$$

In particular,  $U \cap V$  is the subspace  $\bigcup_i \Sigma_i \subset X_\infty(K)$ . Then Mayer-Vietoris sequence implies that:

$$H_1(U \cap V) \xrightarrow{g_1} H_1(U) \oplus H_1(V) \xrightarrow{h_1} H_1(X_\infty(K)) \xrightarrow{d_1} H_0(U \cap V) \xrightarrow{g_0} H_0(U) \oplus H_0(V). \quad (5.11)$$

Recall that the map  $g_i$  and  $h_i$  are given as follows:

$$g_i(\alpha) = (-\mathfrak{k}_*(\alpha), \mathfrak{l}_*(\alpha)) \quad h_i(\beta, \sigma) = \mathfrak{i}_*(\beta) + \mathfrak{j}_*(\sigma)$$

where  $\mathfrak{i} : U \rightarrow X$ ,  $\mathfrak{j} : U \rightarrow X$ ,  $\mathfrak{k} : U \cap V \rightarrow U$  and  $\mathfrak{l} : U \cap V \rightarrow V$  are inclusion maps.

All the terms in (5.11) can be equipped with a  $\mathbf{Z}[t^{-1}, t]$ -module structure such that the morphisms are all module homomorphisms. We already defined the module structure on  $H_j(X_\infty(K))$  and we only need to explain how  $t$  acts on:

$$(\dots, \alpha_{i-1}, \alpha_i, \alpha_{i+1}, \dots) \in H_j(U \cap V) \quad (5.12)$$

with  $\alpha_i \in H_j(\Sigma_i)$  and

$$((\dots, \beta_{2i-2}, \beta_{2i}, \beta_{2i+2}, \dots), (\dots, \gamma_{2i-1}, \gamma_{2i+1}, \gamma_{2i+3}, \dots)) \in H_j(U) \oplus H_j(V) \quad (5.13)$$

with  $\beta_{2i} \in H_j(Y_{2i}(K))$  and  $\gamma_{2i+1} \in H_j(Y_{2i+1}(K))$ . Then  $t$  acts on (5.12) by shifting to the right:

$$t \cdot (\dots, \alpha_{i-1}, \alpha_i, \alpha_{i+1}, \dots) = (\dots, \alpha_{i-2}, \alpha_{i-1}, \alpha_i, \dots)$$

and it acts on (5.13)

$$\begin{aligned} t \cdot ((\dots, \beta_{2i-2}, \beta_{2i}, \beta_{2i+2}, \dots), (\dots, \gamma_{2i-1}, \gamma_{2i+1}, \gamma_{2i+3}, \dots)) = \\ = ((\dots, \gamma_{2i-3}, \gamma_{2i-1}, \gamma_{2i+1}, \dots), (\dots, \beta_{2i-2}, \beta_{2i}, \beta_{2i+2}, \dots)) \end{aligned}$$

It is easy to see that the maps  $g_i$  and  $h_i$  are  $\mathbf{Z}[t^{-1}, t]$ -module homomorphisms. We didn't give the definition of the maps  $d_i$  in the class. Once you know the definition, it is not hard to show that this map also respects the  $\mathbf{Z}[t^{-1}, t]$ -module structures.

Since  $\Sigma$  and  $Y(K)$  are connected, elements of  $H_0(U)$ ,  $H_0(V)$  and  $H_0(U \cap V)$  can be identified with elements of  $\mathbf{Z}[t^{-1}, t]$ . The map  $g_0$  sends an element  $p(t) \in H_0(U \cap V)$  to  $(-p(t), p(t))$ . In particular,  $g_0$  is injective. Therefore,  $H_1(X_\infty(K))$ , as a  $\mathbf{Z}[t^{-1}, t]$ -module, can be identified with  $H_1(U) \oplus H_1(V)$  modulo the image of  $g_1$ . Notice that  $H_1(U \cap V)$  and  $H_1(U) \oplus H_1(V)$  are both free  $\mathbf{Z}[t^{-1}, t]$ -modules with basis given by a  $\mathbf{Z}$ -basis of  $H_1(\Sigma)$  and  $H_1(Y(K))$ . In particular, we can take the basis  $e_1, \dots, e_{2g+d-1}$  for  $H_1(\Sigma_0) \subset H_1(U \cap V)$  and the basis  $f_1, \dots, f_{2g+d-1}$  for  $H_1(Y_0(K)) \subset H_1(U) \oplus H_1(V)$ . The map  $g_1$  sends the basis element  $e_i$  to  $-\phi_*^+(e_i) + t\phi_*^-(e_i)$ . Using (5.8) and (5.9), we can conclude that:

$$g_1(e_i) = \sum_j (-Q_j^i + tQ_i^j) f_j.$$

Thus  $-Q + tQ^t$  is a presentation matrix for the module  $H_1(X_\infty(K))$ .

□

**Corollary 5.14.** *The first elementary ideal of the Alexander module is principal and is generated by  $\det(-Q + tQ^t)$ . In particular, the Alexander polynomial of  $K$  is equal to  $\det(-Q + tQ^t)$  (up to multiplication by  $\pm t^n$ ).*



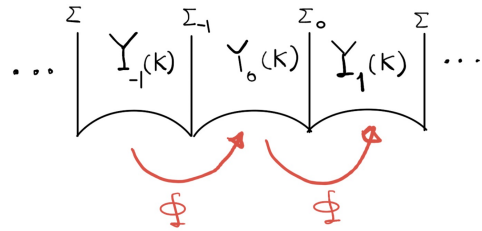


Figure 5

**Example 5.15.** Proposition 5.10 implies that a presentation matrix for  $H_1(X_\infty(T_{2,3}))$  is given as:

$$\begin{bmatrix} 1-t & -1 \\ t & 1-t \end{bmatrix}.$$

Therefore, the Alexander polynomial of trefoil is equal to  $t^2 - t + 1$ .

## References

- [Lic97] W. B. Raymond Lickorish, *An introduction to knot theory*, Graduate Texts in Mathematics, vol. 175, Springer-Verlag, New York, 1997. MR1472978 ↑4