

Lecture 19 : Dimension

Let's agree to call a vector space V finitely generated or finite-dimensional if it is spanned by a finite set of vectors. Otherwise we'll call it infinite dimensional.

In this case[†] it can't have a basis in our sense (which is finite).

Theorem 1 : A finite-dimensional vector space has a basis, and any two bases for V have the same number of elements.

Proof : By the "spanning set theorem" (Lecture 17, Example 6), V has a basis $\{\vec{b}_1, \dots, \vec{b}_n\} = B$. Let $U = \{\vec{u}_1, \dots, \vec{u}_m\} \subset V$ be any other basis, and consider its image $\{[\vec{u}_1]_B, \dots, [\vec{u}_m]_B\}$ under the isomorphism $[\cdot]_B : V \xrightarrow{\cong} \mathbb{R}^n$. I claim that this is a basis of \mathbb{R}^n . Since any basis of \mathbb{R}^n has n elements (Lecture 18, pp. 3-4), $m = n$ (and we are done).

To check the claim:

- Let $\vec{x} \in \mathbb{R}^n$. As $[\cdot]_B$ is onto, $\vec{x} = [\vec{w}]_B$ for some $\vec{w} \in V$. Since U is a basis, we can write $\vec{w} = \sum_{i=1}^m y_i \vec{u}_i$. So $\vec{x} = [\vec{w}]_B = \sum_{i=1}^m y_i [\vec{u}_i]_B$; conclude that the $[\vec{u}_i]_B$ span \mathbb{R}^n .
- Suppose $0 = \sum_{i=1}^m c_i [\vec{u}_i]_B$. Then $0 = \left[\sum_{i=1}^m c_i \vec{u}_i \right]_B$, and since $[\cdot]_B$ is 1-to-1, $0 = \sum_{i=1}^m c_i \vec{u}_i$. Since U is a basis, the $c_i = 0$. Conclude that the $[\vec{u}_i]_B$ are independent. \square

We can now make the key

Definition: The number of elements in a basis for V is called its dimension, written $\dim(V)$.

By the same approach as in the proof of Theorem 1 (using $\mathbb{I}_{\mathbb{R}^n}$ to identify with \mathbb{R}^n), we see that:

- less than $n = \dim(V)$ vectors can't span V ; and
- more than $n = \dim(V)$ vectors can't be independent in V .

Ex 1/ $\dim \mathbb{R}^n = n$ // Count the # of elements in the standard basis

Ex 2/ $\dim \mathbb{P}_k = k+1$ //

Ex 3/ In \mathbb{R}^3 , we have the subspaces $\{\vec{0}\}$ (0 -dim''), $\text{span}\{\vec{e}_1\}$ (1 -dim'), $\text{span}\{\vec{e}_1, \vec{e}_2\}$ (2 -dim')). More generally, the 1 -dim' subspaces are lines thru $\vec{0}$, & the 2 -dim' ones are the planes thru $\vec{0}$. //

Ex 4/ What is dim of $W = \left\{ \begin{pmatrix} p-2q \\ 2p-9q+5r \\ -2q+2r \\ -3p+6r \end{pmatrix} \mid p, q, r \in \mathbb{R} \right\}$ $\subset \mathbb{R}^4$?

$$W = \text{span} \left\{ \begin{pmatrix} 1 \\ 2 \\ 0 \\ -3 \end{pmatrix}, \begin{pmatrix} -2 \\ -9 \\ 2 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 5 \\ 2 \\ 6 \end{pmatrix} \right\} = \text{Col} \underbrace{\begin{pmatrix} 1 & -2 & 0 \\ 2 & -9 & 5 \\ 0 & 2 & 2 \\ -3 & 0 & 6 \end{pmatrix}}_A.$$

To find a basis,
need to know the
pivot columns :

$$\text{ref}(A) = \begin{pmatrix} 1 & 0 & -2 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \Rightarrow \text{basis of } W = \text{Col}(A) \text{ is}$$

$B = \left\{ \begin{pmatrix} 1 \\ 2 \\ 0 \\ -3 \end{pmatrix}, \begin{pmatrix} -2 \\ -9 \\ 2 \\ 0 \end{pmatrix} \right\}$.

So $\dim(W) = 2$.

//

Theorem 2: Let $W \subseteq V$ be a subspace (of a finite dimensional vector space V). Then $\dim W \leq \dim V$, with equality if and only if $W = V$.

Proof: Let $B_0 = \{\vec{b}_1, \dots, \vec{b}_k\} \subset W$ be a basis. Unless $W = V$, it doesn't span V . Let $\vec{b}_{k+1} \in V$ be outside $W = \text{span}(B_0)$; then $\{\vec{b}_1, \dots, \vec{b}_k, \vec{b}_{k+1}\}$ is independent. If it still doesn't span V , we can add \vec{b}_{k+2} and so on. The process has to terminate b/c otherwise V would accommodate an infinite independent set, contradicting its finite-dimensionality. When it terminates (at some n), the set spans V . So $B = \{\vec{b}_1, \dots, \vec{b}_k, \vec{b}_{k+1}, \dots, \vec{b}_n\}$ is a basis for V containing B_0 , and $\dim W = k \leq n = \dim V$.

If $k=n$ then we are in the case where B_0 already spans V , i.e. $W = V$. □

Remarks: ① The proof shows that any basis of W can be extended to one of V .

② Suppose we know $\dim(V) = n$, and $S = \{\vec{v}_1, \dots, \vec{v}_n\} \subset V$.

Then

(a) S independent $\Rightarrow S$ is a basis of V .
(automatically spans V)

(b) S spans $V \Rightarrow S$ is a basis of V
(automatically lin. ind.).

So it suffices to check independence OR spanning V .

Ex 5 / Is $\{1, 2t, -2+4t^2, -12t+8t^3\}$ a basis of P_3 ?

Only need to check that they span P_3 , for which it suffices to show that you can get $1, t, t^2, t^3$ as linear combinations of them. (This is left to you.) //

Ex 6 / What are the dimensions of $\text{Nul}(A)$ and $\text{Col}(A)$

for $A = \begin{pmatrix} 0 & 0 & 1 & -1 & -1 \\ 2 & 4 & 2 & 4 & 2 \\ 2 & 4 & 3 & 3 & 3 \\ 3 & 6 & 6 & 3 & 6 \end{pmatrix}$?

Row-reduce using $\text{rref}(A) = \begin{pmatrix} 1 & 2 & 0 & 3 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$.

The pivot columns of A , i.e. the 1st, 3rd, 4th columns (of A , not $\text{rref}(A)$) gave a basis of $\text{Col}(A)$.

So $\dim(\text{Col}(A)) = 3$.

The non-pivot columns of A correspond to the free variables $x_2 \& x_4$, associated to each of which you have a vector in the basis $\left\{ \begin{pmatrix} -2 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} -3 \\ 0 \\ 1 \\ 0 \end{pmatrix} \right\}$ of $\text{Nul}(A)$. So $\dim(\text{Nul}(A)) = 2$.

More or less the same words prove the following general

Theorem 3 : $\dim(\text{Nul}(A)) = \# \text{ of non-pivot columns}$

$\dim(\text{Col}(A)) = \# \text{ of pivot columns}$.