

Boundary strata and adjoint varieties¹

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¹report on recent work with C. Robles, based in part on earlier work with G. Pearlstein as well as P. Griffiths and M. Green.

§1. Construction of Mumford-Tate domains

- ▶ V = vector space over \mathbb{Q}
- ▶ $Q : V \times V \rightarrow \mathbb{Q}$ nondegenerate symmetric bilinear form
- ▶ $\varphi : S^1 \rightarrow \text{Aut}(V_{\mathbb{R}}, Q)$, where
 $Q(v, \varphi(\sqrt{-1})\bar{v}) > 0 \quad \forall v \in V_{\mathbb{C}} \setminus \{0\}$ (weight 0 PHS)
- ▶ $G \leq \text{Aut}(V, Q)$: \mathbb{Q} -algebraic closure of $\varphi(S^1)$
 G = subgroup fixing HTs pointwise (Chevalley's thm.)
Mumford-Tate (or Hodge) group
- ▶ $D := G(\mathbb{R}).\varphi \cong G(\mathbb{R})/H \underset{\text{open}}{\subset} G(\mathbb{C})/P =: \check{D}$
Mumford-Tate domain \subset compact dual

We think of M-T domains as parametrizing (a connected component of) all HS on V polarized by Q , with the same Hodge numbers as φ , whose HTs include the fixed tensors of G . We shall loosely speak of (V, Q, φ) as a “Hodge representation” of G .

Problem [GGK]: How do we arrange for the M-T group to be a given (simple, adjoint) \mathbb{Q} -algebraic group G ?

One way is to take $V = \mathfrak{g}$. We need the crucial assumption that $G(\mathbb{R})$ contains a compact maximal torus T .

Let $\mathfrak{g}_{\mathbb{R}} = \mathfrak{k} \oplus \mathfrak{k}^{\perp}$ be a Cartan decomposition with $\mathfrak{t} \subseteq \mathfrak{k}$ and involution θ . Write $\Delta := \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{t}_{\mathbb{C}}) = \Delta_c \cup \Delta_n$, and $\mathcal{R} \leq \Lambda := X^*(T_{\mathbb{C}})$ for the lattice it generates.

- ▶ θ Lie-alg. homom. $\implies \exists$ homom. $\mathcal{R} \rightarrow \mathbb{Z}$ sending $\Delta_c \rightarrow 2\mathbb{Z}$, $\Delta_n \rightarrow 2\mathbb{Z} + 1$.
- ▶ G adjoint $\implies \mathcal{R} = \Lambda \implies$ this homom. is induced by a grading element $E \in \sqrt{-1}\mathfrak{t}$.
- ▶ Set $\varphi(z) := e^{2 \log(z)E}$, so that $(\text{Ad} \circ \varphi)(\sqrt{-1}) = \theta$.
- ▶ Since $-B$ is > 0 on \mathfrak{k} and < 0 on \mathfrak{k}^{\perp} , $(\mathfrak{g}, \text{Ad} \circ \varphi, -B)$ is a PHS (of weight 0).

The Hodge decomposition takes the form $\mathfrak{g}_{\mathbb{C}} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}^j$, where

$$\mathfrak{g}^j := \mathfrak{g}_{\varphi}^{j,-j} = \begin{cases} \bigoplus_{\delta \in \Delta: E(\delta)=j} \mathfrak{g}_{\delta}, & j \neq 0 \\ \left(\bigoplus_{\delta \in \Delta: E(\delta)=0} \mathfrak{g}_{\delta} \right) \oplus \mathfrak{t}_{\mathbb{C}}, & j = 0 \end{cases};$$

write $h^j := \dim_{\mathbb{C}} \mathfrak{g}^j$ for the Hodge numbers.

We also claim that the M-T group of $\text{Ad} \circ \varphi$ is G . Why?

Let $M \leq G$ be (equivalently)

- (a) the smallest \mathbb{Q} -algebraic group such that $\text{Ad} \circ g\varphi g^{-1}$ factors thru $M(\mathbb{R})$ ($\forall g \in G(\mathbb{R})$)
- (b) the M-T group of the family $\{\text{Ad} \circ g\varphi g^{-1}\}_{g \in G(\mathbb{R})}$ of polarized Hodge structures
- (c) the M-T group of $\text{Ad} \circ g_0\varphi g_0^{-1}$ for all $g_0 \in G(\mathbb{R})$ in the complement of a meager set

Since φ is “sufficiently general”, we may take $g_0 = 1$ in (c).

By (a), $M \trianglelefteq G$; so G simple $\implies M = G$.

Generalization to fundamental adjoint varieties

Recall: upon fixing $\Delta^+ \subset \Delta$, we have

- ▶ α_i simple roots $\xleftrightarrow[\delta_i^j]{}$ S^j simple grading elements
- ▶ ω_i fundamental weights $\xleftrightarrow[\delta_i^j]{}$ H_j simple coroots
($H_j \in [\mathfrak{g}_{\alpha_j}, \mathfrak{g}_{-\alpha_j}], \alpha_j(H^j) = 2$)

Let $\mu = \sum \mu^i \omega_i$ be dominant ($\mu_i \geq 0$), assume $U = V^\mu$ real.
Consider the assoc. parabolic subgroup $P \geq B \geq T_{\mathbb{C}}$, where

- ▶ $\Delta(B) = \Delta^+$
- ▶ $I(\mathfrak{p}) := \{i \mid -\alpha_i \notin \Delta(\mathfrak{p})\} = \{i \mid \mu^i \neq 0\}$

and the assoc. grading element $E := \sum_{i \in I} S^i$. Put $m := E(\mu)$.

- ▶ $U = U^{-m} \oplus \dots \oplus U^m$ is the grading induced by E
- ▶ $U^m = U_\mu =$ highest weight line
- ▶ the $G(\mathbb{C})$ -orbit of $[U_\mu] \in \mathbb{P}U$ gives a homogeneous embedding of $G(\mathbb{C})/P$, minimal if $\mu^i \in \{0, 1\}$ ($\forall i$).

The adjoint case is $U = \mathfrak{g}$. Root/weight computations show:

- ▶ $m = 2$, so $\{h^j\} = \{1, *, *, *, 1\}$
- ▶ unless G is of type E_8 , there exists a level 2 faithful Hodge representation (like $(2, 3, 2)$ in the G_2 example above)
- ▶ unless G is of type C , the **adjoint variety** $\check{D} = G(\mathbb{C})/P \hookrightarrow \mathbb{P}\mathfrak{g}$ is minimally embedded
- ▶ unless G is of type A or C , the adjoint representation is fundamental ($\mathfrak{g} = V^{\omega_k}$), and the corresponding $\{\check{D}\}$ are the **fundamental adjoint varieties**.

Why study the adjoint varieties as Hodge-theoretic classifying spaces?

One reason: they are the “simplest” G/P with nontrivial IPR, in the sense of being precisely the cases where \mathcal{W} is a contact distribution.

§2. Schubert VHS and classical subdomains

Let $D \subset \check{D}$ be a M-T domain with base point $F^\bullet = F_\varphi^\bullet \in D$, $\mathfrak{g} = \bigoplus \mathfrak{g}^j$ the corresponding Hodge decomposition, and T, Δ as before. Write $P \geq B \geq T_{\mathbb{C}}$ for the parabolic fixing F^\bullet , so that we have:

- ▶ $\Delta(B) =: \Delta^+ = \Delta(F^1 \mathfrak{g}) \cup \Delta^+(\mathfrak{g}^0)$
- ▶ $\Delta(P) = \Delta(F^0 \mathfrak{g})$.

Put $W^P := \{w \in W \mid w\Delta^+ \supset \Delta^+(\mathfrak{g}^0)\}$, and note that

- ▶ $w \in W^P \implies \Delta_w := \Delta^- \cap w\Delta^+$ is closed in Δ
- ▶ $\check{D} = \coprod_{w \in W^P} C_w := \coprod_{w \in W^P} Bw^{-1} \cdot F^\bullet$.

The Schubert varieties $X_w := \overline{wC_w}^{\text{Zar.}} \subset \check{D}$ satisfy

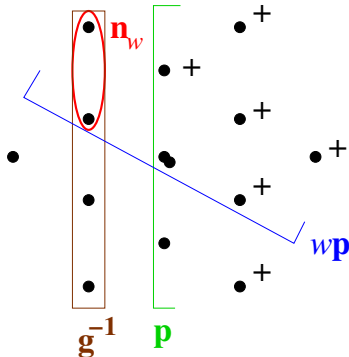
- ▶ $\dim_{\mathbb{C}} X_w = |\Delta_w| = \ell(w)$
- ▶ $T_{F^\bullet} X_w = \mathfrak{n}_w := \bigoplus_{\alpha \in \Delta_w} \mathfrak{g}_\alpha$
- ▶ X_w Schubert VHS (i.e. horizontal) $\iff \mathfrak{n}_w \subset \mathfrak{g}^{-1}$
 $(\implies \mathfrak{n}_w \text{ abelian})$

Theorem (Robles)

$$\max \dim(\text{IVHS}) = \max \dim(\text{SVHS}) = \max \left\{ |\Delta_w| \mid w \in W^P, \Delta_w \subset \Delta(\mathfrak{g}^{-1}) \right\}$$

Example: ($G = G_2$)

There exists only one X_w of dimension 2, and it is an SVHS (false for higher dim.)
 \Downarrow
 the maximal integral manifold of \mathcal{W} has dimension 2



Is this X_w a M-T subdomain? If so, it would be a (smooth, Hermitian) homogeneous $G'(\mathbb{R})$ -orbit, with $\mathfrak{g} \supsetneq \mathfrak{g}' = \text{Lie algebra closure of } \mathfrak{n}_w \oplus \overline{\mathfrak{n}_w}$. But this closure is all of \mathfrak{g} . **NO!**

More generally, **what is the relationship between SVHS and horizontal** (\implies Hermitian) **M-T domains?**

For any subdiagram $\mathcal{D}' \subset \mathcal{D}$ of the Dynkin diagram of \mathfrak{g} , have

- ▶ $\mathfrak{g}' \subset \mathfrak{g}$ subalg. gen. by the root spaces $\{\mathfrak{g}_\alpha \mid \alpha \in \mathcal{D}'\}$
- ▶ $X(\mathcal{D}') := G'(\mathbb{C}).F^\bullet \subset \check{D}$ smooth Schubert variety

$X(\mathcal{D}')$ is horizontal iff $\mathfrak{g}' \subset \mathfrak{g}^{-1} \oplus \mathfrak{g}^0 \oplus \mathfrak{g}^1$, in which case it is (the compact dual of) a homogeneously embedded Hermitian symmetric domain.

Theorem (K-R)

Let $X \subset \check{D}$ be a SVHS. Then

$$X \text{ smooth} \iff X = \prod_i X(\mathcal{D}_i) \text{ (homog. emb. HSD).}$$

It is instructive to compare this with another recent result:

Theorem (Friedman-Laza)

- ▶ $X \subset \check{D}$ a smooth "VHS" (horizontal subvariety)
 - ▶ Y a (nonempty) connected component of $X \cap D$ with strongly quasi-projective image in $\Gamma \backslash D$
- $\implies Y$ is a (Hermitian) M-T subdomain.

On the other hand, with an arithmetic assumption on \check{D} , the [K-R] result has the following

Corollary

- ▶ $X \subset \check{D}$ a smooth Schubert VHS
 - ▶ Y a (nonempty) connected component of $X \cap D$
- $\implies Y$ is a translate of a (Hermitian) M-T subdomain.

The converse of the Corollary is **false**: there are plenty of non-Schubert, horizontal Hermitian M-T subdomains, and we will construct maximal integral ones later.

§3. Lines on \check{D} and a differential invariant of VHS

The Corollary suggests that there might be lots of singular SVHS, in view of the G_2 example. A systematic construction of Schubert varieties is given by incidence correspondences:

$$\begin{array}{ccc}
 & G(\mathbb{C})/\{P \cap Q\} & \\
 \swarrow & & \searrow \\
 G(\mathbb{C})/Q & & G(\mathbb{C})/P = \check{D} \\
 \cup & & \cup \\
 \Sigma & \xleftarrow{x^{-1}} & X(\Sigma) \\
 & \xrightarrow{x} &
 \end{array}$$

where $P, Q \geq B$. Note that:

- ▶ X, X^{-1} preserve Schubert varieties
- ▶ a point is a Schubert variety (e.g. $P/P = F^\bullet$)
- ▶ $X(Q/Q) = X(\mathcal{D}')$, where $\mathcal{D}' = \mathcal{D} \setminus (I(q) \setminus I(p))$

Case of P maximal

- ▶ P maximal $\implies I(\mathfrak{p}) = \{k\}$. If $I(\mathfrak{q})$ contains the nodes adjacent to $\{k\}$, then $X(\mathcal{D}')$ is a \mathbb{P}^1 thru F^\bullet .

In this case:

- ▶ $X_0 := X(X^{-1}(F^\bullet))$ is a Schubert variety consisting of all \mathbb{P}^1 's thru F^\bullet on \check{D} (in its minimal embedding).

Specializing to the case where $\check{D}(\subset \mathbb{P}\mathfrak{g})$ is a fundamental adjoint variety, let

- ▶ $\mathcal{C}_0 :=$ the $G^0(\mathbb{C})$ -orbit of the highest weight line in $\mathbb{P}\mathfrak{g}^{-1}$.

Then

- ▶ $\mathcal{C}_0 \cong G^0(\mathbb{C})/\{P \cap G^0(\mathbb{C})\}$ is a homogeneous Legendrian variety
- ▶ $X_0 \cong \text{Cone}(\mathcal{C}_0)$ is a singular Schubert VHS

Some data for the fundamental adjoint varieties \check{D} and their associated “subadjoint” varieties \mathcal{C}_0 of lines through a point:

$\mathfrak{g}_{\mathbb{C}}$	\check{D}	$\mathfrak{g}_{\mathbb{C}}^{0,ss}$	\mathcal{C}_0
$\mathfrak{so}(n)$	$OG(2, \mathbb{C}^n)$	$\mathfrak{so}(n-4) \oplus \mathfrak{sl}(2)$	$\mathbb{P}^1 \times Q^{n-6}$
\mathfrak{e}_6	E_6/P_2	$\mathfrak{sl}(6)$	$Gr(3, \mathbb{C}^6)$
\mathfrak{e}_7	E_7/P_1	$\mathfrak{so}(12)$	S_6
\mathfrak{e}_8	E_8/P_8	\mathfrak{e}_7	E_7/P_7
\mathfrak{f}_4	F_4/P_1	$\mathfrak{sp}(6)$	$LG(3, \mathbb{C}^6)$
\mathfrak{g}_2	G_2/P_2	$\mathfrak{sl}(2)$	$\nu_3(\mathbb{P}^1)$

We now relate these varieties of lines to the [Griffiths-Yukawa kernel](#). Let $\mathcal{V} = \bigoplus_{j=0}^n \mathcal{V}^{n-j,j}$ be a VHS over \mathcal{S} , with associated period map $\Phi : \mathcal{S} \rightarrow \Gamma \backslash D$ ($D = \text{M-T domain}$). Denote by \mathcal{D} a holomorphic differential operator on $U \subset \mathcal{S}$ of order n .

The composition

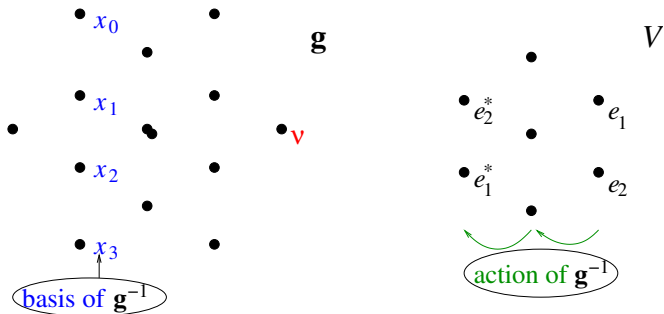
$$\mathcal{O}_U(\mathcal{V}^{n,0}) \hookrightarrow \mathcal{O}_U(\mathcal{V}) \xrightarrow{\mathcal{D}} \mathcal{O}_U(\mathcal{V}) \twoheadrightarrow \mathcal{O}_U(\mathcal{V}^{0,n})$$

depends only on $\sigma(\mathcal{D})$, giving rise to the [G-Y coupling](#)

$$\begin{array}{ccc} \text{Sym}^n T_{\mathcal{S}} \mathcal{S} & \rightarrow & \text{Hom}(V_s^{n,0}, V_s^{0,n}) = (V_s^{0,n})^{\otimes 2} \\ d\Phi \downarrow & \nearrow & \uparrow (*) \\ \text{Sym}^n \mathfrak{g}^{-1} & \xleftarrow{(\cdot)^n} & \mathfrak{g}^{-1} \end{array}$$

Write $\mathcal{Y} \subset \mathbb{P}\mathfrak{g}^{-1}$ for the kernel of $(*)$ (at $F^\bullet \in D$).

Example : $(G = G_2) \quad V = V^{2,0} \oplus V^{1,1} \oplus V^{0,2}$ 7-diml irrep



Given $\xi := \sum \xi_i x_i \in \mathfrak{g}^{-1}$, one computes

$$e^*[\xi^2]_e = \begin{pmatrix} -2\xi_1\xi_2 + 2\xi_2^2 & \xi_1\xi_2 - \xi_0\xi_3 \\ \xi_1\xi_2 - \xi_0\xi_3 & -2\xi_0\xi_2 + 2\xi_1^2 \end{pmatrix}$$

whose vanishing defines the twisted cubic $\nu_3(\mathbb{P}^1) \subset \mathbb{P}\mathfrak{g}^{-1}$.

This example is generalized to E_6, E_7, F_4 by the

Theorem (K-R)

For $\check{D} = G(\mathbb{C})/P$ a fundamental adjoint variety, and V a level 2 Hodge representation such that $V^{2,0}$ is a faithful representation of \mathfrak{g}^0 , we have $\mathcal{Y} = \mathcal{C}_0$. (Much more generally, \mathcal{Y} contains the horizontal lines through F^\bullet .)

Sketch: Given $[\xi] \in \mathcal{Y}$, $\xi^2(u) = 0 \forall u \in V^{2,0}$. Fix $v \in \mathfrak{g}^2 \setminus \{0\}$, so $\text{ad}_\xi^2 v \in \mathfrak{g}^0$. Then $(\text{ad}_\xi^2 v)u = v\xi\xi u = 0 \xrightarrow{\text{faithful}} \text{ad}_\xi^2 v = 0 \implies$ second fundamental form vanishes at $\xi \implies [\xi] \in \mathcal{C}_0$. \square

When the conclusion of the Theorem holds,

- ▶ $\mathcal{Y} = \ker(G-Y)$ gives Hodge-theoretic meaning to \mathcal{C}_0
- ▶ $\mathcal{C}_0 \cong G^0(\mathbb{C})/\dots$ gives a homogeneous description of \mathcal{Y}
- ▶ $\text{ad}_\xi^2 v = 0$ produces explicit projective homogeneous equations for both.

§4. $G(\mathbb{R})$ -orbits in \check{D} and asymptotics of VHS

Given the input:

- ▶ $D \subset \check{D}$ M-T domain (parametrizing wt. 0 HS on V)
- ▶ $\Gamma \leq G(\mathbb{Q})$ neat arithmetic
- ▶ $\sigma^\circ \subset \sigma = \mathbb{Q}_{\geq 0} \langle N_1, \dots, N_m \rangle \subset \mathfrak{g}_{\mathbb{Q}}$ abelian nilpotent

we define (and assume nonempty):

$$\text{▶ } \tilde{B}(\sigma) := \left\{ F^\bullet \in \check{D} \mid \begin{array}{l} e^{\sum t_i N_i} F^\bullet \in D \text{ for } \text{Im}(\tau_i) \gg 0 \\ N_i F^\bullet \subset F^{\bullet-1} \end{array} \right\}$$

which parametrizes LMHS $(F^\bullet, W(\sigma)_\bullet)$,²

- ▶ $B(\sigma) := e^{\mathbb{C}\sigma} \backslash \tilde{B}(\sigma) = \mathbf{boundary\ component}$ assoc. to σ

which parametrizes σ -nilpotent orbits $(\sigma, e^{\mathbb{C}\sigma} F^\bullet)$, and

- ▶ $\bar{B}(\sigma) = \Gamma_\sigma \backslash B(\sigma)$, where $\Gamma_\sigma := \text{stab}_\Gamma(\sigma)$.

One may “partially compactify” $\Gamma \backslash D$ by $\bar{B}(\sigma)$ s (log manifold).

² $N(W(\sigma)_\bullet) \subset W(\sigma)_{\bullet-2}$ and $N^k : Gr_k^{W(\sigma)} \xrightarrow{\cong} Gr_{-k}^{W(\sigma)} (\forall N \in \sigma^\circ)$.

Structure of $B(\sigma)$

Write

- ▶ $M_\sigma = \exp \{ \text{im}(\sum N_i) \cap (\cap \ker(N_i)) \}$
- ▶ $Z(\sigma) = Z_0(\sigma) \cdot M_\sigma$ for the centralizer of σ in G
- ▶ $G_\sigma \leq Z_0(\sigma)$ for the M-T group of generic $Gr^W(F^\bullet, W(\sigma)_\bullet)$

Then we have

- ▶ fibration $B(\sigma) \twoheadrightarrow D(\sigma) = \text{M-T domain of generic } Gr^W(F^\bullet, W(\sigma)_\bullet)$
 $\mathbb{Q}\text{-split}(F^\bullet_0, W(\sigma)_\bullet)$

- ▶ $B(\sigma) = \{ G_\sigma^{ss}(\mathbb{R}) \ltimes M_\sigma(\mathbb{C}) \} \cdot F^\bullet_0$ and

$$D(\sigma) = G_\sigma^{ss}(\mathbb{R}) \cdot Gr^W F^\bullet_0$$

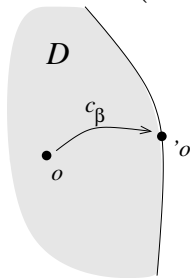
- ▶ naive limit map

$$\begin{aligned} \Phi_\infty^\sigma : B(\sigma) &\rightarrow \partial D \subset \check{D} \\ F^\bullet &\mapsto \lim_{\text{Im}(\tau) \rightarrow \infty} e^{\tau N} F^\bullet \quad (\text{any } N \in \sigma^\circ). \end{aligned}$$

[K-R] contains a general prescription for using a set $\mathfrak{B} = \{\beta_1, \dots, \beta_s\} \subset \Delta(\mathfrak{g}^1)$ of strongly orthogonal roots to explicitly construct \mathbb{Q} -split (σ, F^\bullet) . The motivation is to parametrize $G(\mathbb{R})$ -orbits in ∂D in the image of Φ_∞^σ . I will discuss only $s = 1$. Fix a base point $o (\longleftrightarrow F^\bullet)$ in D .

Let $\beta \in \Delta(\mathfrak{g}^1)$, with associated $\mathfrak{sl}_2^\beta = \langle N, Y, N^+ \rangle$ ($N \in \mathfrak{g}_{-\beta}$). Apply the Cayley transform $c_\beta = \text{Ad} \left(e^{\frac{\pi}{4}(X_{-\beta} - X_\beta)} \right)$ to

- $\mathfrak{t}_{\mathbb{C}} \rightsquigarrow \mathfrak{h}$
 - $\mathfrak{g}_\alpha \rightsquigarrow \mathfrak{g}'_\alpha$
 - $E \rightsquigarrow E'$
 - $o \rightsquigarrow o'$
- $(F^\bullet \rightsquigarrow F'^\bullet)$



Then

- ▶ $'F^\bullet \in \tilde{B}(N)$, and $\mathfrak{g}_N^{ss} = \ker\{\beta|_{\mathfrak{h}}\} \oplus \bigoplus_{\alpha \perp \beta} 'g_\alpha$
- ▶ $'E, Y$ give a (Deligne) **bigrading** $\mathfrak{g}^{p,q} = \mathfrak{g}'_E{}^p \cap \mathfrak{g}'_Y{}^{p+q}$ of $\mathfrak{g}_\mathbb{C}$

whose dimensions $h^{p,q}$ are the Hodge-Deligne numbers of the (limit) MHS $('F^\bullet, W(N)_\bullet)$ associated to $'o$.

Remark : We can use this to construct non-Schubert M-T subdomains. Define the “enhanced SL_2 -orbit”

$$X(N) := \overline{e^{\mathbb{C}N} G_N^{ss} \cdot 'o}^{\text{Zar}} = G_N^{ss} \times SL_2^\beta \cdot 'o \subset \check{D};$$

then (with an arithmetic assumption on o)

- ▶ $Y(N) := X(N) \cap D$ is a M-T domain
- ▶ $X(N) = \check{D}(N) \times \mathbb{P}^1 \supset D(N) \times \mathfrak{h} = Y(N)$
- ▶ If $E(\alpha) \in \{-1, 0, 1\} \forall \alpha \perp \beta$, then $Y(N)$ is a HSD.
- ▶ If $\check{D} = G(\mathbb{C})/P$ (P maximal) and $\dim X(N) \geq 2$, then $X(N)$ is not Schubert.

§5. “Minimal” boundary of adjoint varieties

Let \check{D} be a fundamental adjoint variety (note $E = S^1$).

Proposition (K-R)

There is a unique codimension-1 $G(\mathbb{R})$ -orbit in ∂D .

Sketch:

- [K-P] \mathbb{R} -codim. of orbit $\ni 'o$ is given by $\sum_{p,q>0} h^{p,q}$;
- [KP] codim.-1 orbits are of the form $G(\mathbb{R}).\mathbf{c}_\beta o$, $\beta \in \Delta(\mathfrak{g}^1)$.

Acting by $W(\mathfrak{g}^0)$, wma $(\beta, \alpha_j) \leq 0 \forall j \neq i$, i.e. $\alpha_j(H^\beta) \leq 0$.

In the bigrading defined by \mathbf{c}_β , $\mathfrak{g}^{1,1} \supset ' \mathfrak{g}^1 \supset ' \mathfrak{g}^\beta$

$$\implies \beta = \alpha_i + \sum_{j \neq i} m_j \alpha_j \quad (m_j \geq 0)$$

$$\implies p(\alpha_i) + q(\alpha_i) = \alpha_i(H^\beta) = \beta(H^\beta) - \sum_{j \neq i} m_j \alpha_j(H^\beta) \geq 2$$

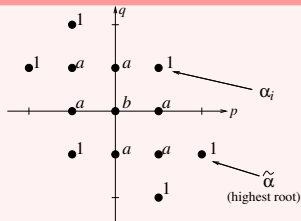
$$\implies q(\alpha_i) \geq 1 \implies \text{codim} > 1 \text{ unless } \beta = \alpha_i. \quad \square$$

Let $'o (= \mathbf{c}_{\alpha_i} o)$ belong to this real codimension-1 orbit, with associated MHS $(F^\bullet, W(N)_\bullet)$ and bigrading $\mathfrak{g}^{p,q}$.

Proposition (K-R)

The $h^{p,q} = \dim_{\mathbb{C}} \mathfrak{g}^{p,q}$ are

(e.g. for G_2 , $a = b = 1$
for F_4 , $a = 6$ and $b = 10$)



Sketch:

We know $\dim \mathfrak{g}^2 = 1$, $\mathfrak{g}^{>2} = \{0\}$, $\dim \mathfrak{g}_2 = 1$, $\mathfrak{g}_{>2} = \{0\}$.

Now $\alpha_i, \tilde{\alpha}$ are non-short roots, and

- $H^i \in [\mathfrak{g}_{\alpha_i}, \mathfrak{g}_{-\alpha_i}]$ s.t. $\alpha_i(H^i) = 2$

- $S^i \in [\mathfrak{g}_{\tilde{\alpha}}, \mathfrak{g}_{-\tilde{\alpha}}]$ s.t. $\tilde{\alpha}(S^i) = 2$.

$\implies \exists w \in W$ sending $H^i \mapsto -S^i$

$\implies w(\mathfrak{g}^{p,q}) = w(\mathfrak{g}^p \cap \mathfrak{g}_{p+q}) = \mathfrak{g}_{-p} \cap \mathfrak{g}^{-(p+q)} = \mathfrak{g}^{-p-q,q}$. \square

Note that w identifies the (faithful) representations of \mathfrak{g}_0 on \mathfrak{g}_1 and \mathfrak{g}^0 on \mathfrak{g}^{-1} . Moreover, $D(N) (\subset \mathbb{P}\mathfrak{g}_1)$ is the M-T domain for the Hodge representation of G_0 on \mathfrak{g}_1 , which leads to:

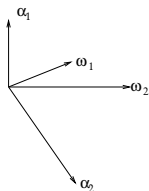
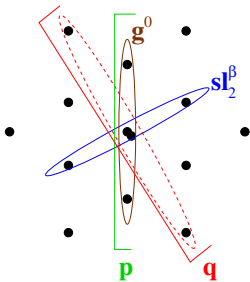
Theorem (K-R)

- (a) $\check{D}(N) \subset \mathbb{P}\mathfrak{g}_1$ is $\cong \mathcal{C}_0 \subset \mathbb{P}\mathfrak{g}^{-1}$.
- (b) $X(N) \cong \mathbb{P}^1 \times \mathcal{C}_0$ (*cylinder* on \mathcal{C}_0)
- (c) $\bar{B}(N) \twoheadrightarrow \Gamma_N \backslash D(N)$ is a family of intermediate Jacobians associated to a VHS (with Hodge numbers $(1, a, a, 1)$) over a Shimura variety.
- (d) Over $D(N)$, these VHS recover the Friedman-Laza list of maximal weight 3 Hermitian VHS of CY type.

Applications? Automorphic cohomology; geometric realizations; cohomology of \check{D} .

$H^*(\check{D}, \mathbb{Z})$ is generated by Schubert varieties, and the “horizontal” part (invariant characteristic cohomology) by Schubert VHS. Do the subadjoint cylinder classes $[X(N)]$ yield smooth representatives of the subadjoint cone classes $[X_w]$?

Example :
 $(G = G_2)$



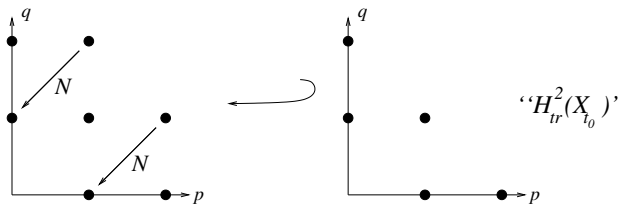
$$X_w \cong X(\underbrace{G^0 \cdot Q/Q}_{\Sigma}), \quad X(N) \cong \underbrace{(SL_2^\beta \cdot Q/Q)}_{\Sigma(N)}$$

$$(\omega_1, \omega_1) = 2(\omega_1, \alpha_1) \implies [\Sigma(N)] = 2[\Sigma]$$

$$\implies [X(N)] = 2[X_w].$$

§6. A (partial) geometric realization

We look for degenerations of varieties predicted by the “codim. 1” boundary components of adjoint domains. For G_2 , this should take the form of a 1-parameter family of surfaces $\{X_t\}$ with H_{tr}^2 Hodge numbers $(2, 3, 2)$, M-T group G_2 , and LMHS of the form



where N is the monodromy logarithm and bullets denote 1-dimensional spaces. In fact, just such a family has been constructed by N. Katz using elliptic fibrations; the M-T group is determined by a moment computation using elliptic convolution over finite fields. We shall describe a special case.

Begin with the rational elliptic surface

$$\mathcal{E} \rightarrow \mathbb{P}_z^1 : y^2 = x(1-x)(x-z^2)$$

with singular fibers $(2 I_4, 2 I_2)$ at $z = -1, 0, 1, \infty$.

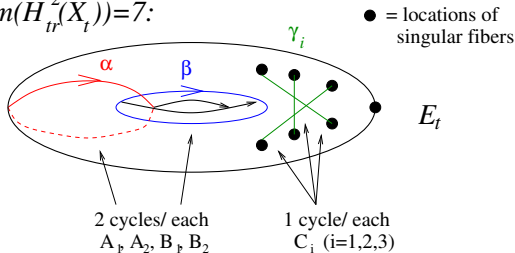
For any $t \neq 0, \frac{\pm 2}{3\sqrt{3}}, \infty$, base change by

$$E_t \rightarrow \mathbb{P}_z^1 : w^2 = tz(z-1)(z+1) + t^2$$

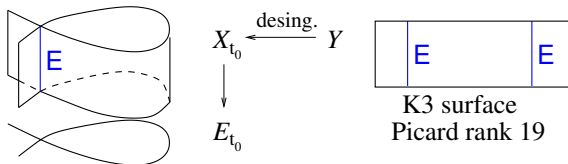
to obtain an elliptic surface $X_t \rightarrow E_t$ with 7 singular fibers,

$$\Omega^2(X_t) = \mathbb{C}\langle \omega_1(t), \omega_2(t) \rangle = \mathbb{C}\langle \frac{dx}{y} \wedge \frac{dz}{w}, \frac{dx}{y} \wedge \frac{zdz}{w} \rangle, \text{ and}$$

$$\dim(H_{tr}^2(X_t)) = 7:$$



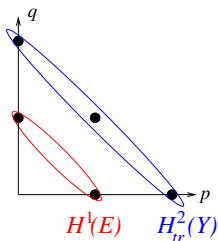
Degenerating X_t as $t \rightarrow t_0 = \frac{2}{3\sqrt{3}}$ yields



with

$$E = \{y^2 = x(1-x)(x - \frac{1}{3})\}.$$

The part of $H^2(X_{t_0})$ not coming from the 19 algebraic classes on Y indeed takes the form



In fact, we can “determine” the limiting period in

$$\bar{B}(N) \rightarrow \Gamma \backslash \mathfrak{H}.$$

- ▶ Since $G_N \cong SL_2$ and $j(E) \notin \mathbb{Z}$,

$$H_{tr}^2(Y) \cong \text{Sym}^2 H^1(E)$$

and the point in the base is determined by the (non-CM) Hodge structure $H^1(E)$.

- ▶ The point in the fiber

$$\begin{aligned} \mathbb{C}^2 / \mathbb{Z} \langle \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 2\tau/3 \\ 1 \end{pmatrix}, \begin{pmatrix} \tau^2/3 \\ 2\tau \end{pmatrix}, \begin{pmatrix} 0 \\ 3\tau^2 \end{pmatrix} \rangle &\cong J(\text{Sym}^3 H^1(E)) \\ &\subset J(H_{tr}^2(Y)^\vee \otimes H^1(E)) \end{aligned}$$

is given by $\int_{B_1} \omega, \int_{B_2} \omega$ ($\omega \in \Omega^2(Y)$).

The image of the period map into $\Gamma \backslash D$ is contained (at least locally) in 2-dimensional integral manifolds. Does X_t belong to a 2-parameter family?

(For F_4 , one expects a 7-parameter family of surfaces with H_{tr}^2 Hodge numbers $(6, 14, 6)$!)

To determine which deformations of X_t “preserve G_2 ”, it may be necessary to “see” the cubic Hodge tensor geometrically: we need $\mathfrak{J} \in CH^3(X_t \times X_t \times X_t)$ inducing an “octonionic cross-product” on $H_{tr}^2(X_t)$.

– Thank You –