

AN H^p SCALE FOR COMPLETE PICK SPACES

ALEXANDRU ALEMAN, MICHAEL HARTZ, JOHN E. MCCARTHY,
AND STEFAN RICHTER

1. INTRODUCTION

Let \mathcal{M} be a reproducing kernel Hilbert space on a set X , with kernel function k . Let $\text{Mult}(\mathcal{M})$ denote the multiplier algebra of \mathcal{M} . We shall make the following assumption throughout our paper:

$$(A) \quad \text{Mult}(\mathcal{M}) \text{ is densely contained in } \mathcal{M}.$$

We shall let $\mathcal{M} \odot \mathcal{M}$ denote the weak-product of \mathcal{M} with itself, which is

$$(1.1) \quad \mathcal{M} \odot \mathcal{M} := \left\{ \sum_{n=1}^{\infty} f_n g_n : \sum_n \|f_n\|_{\mathcal{M}} \|g_n\|_{\mathcal{M}} < \infty \right\}.$$

This is a Banach space, where the norm of a function h is the infimum of $\sum_n \|f_n\|_{\mathcal{M}} \|g_n\|_{\mathcal{M}}$ over all representations of h as $\sum_n f_n g_n$.

If we use the complex method of interpolation to interpolate between $\mathcal{M} \odot \mathcal{M}$ and its anti-dual (the space of bounded conjugate linear functionals) we get a scale of Banach spaces, whose mid-point is the Hilbert space \mathcal{M} . By analogy with the case where \mathcal{M} is the Hardy space H^2 on the unit disk, where the end-points become H^1 and BMOA and the intermediate spaces are H^p for $1 < p < \infty$, we shall define

$$(1.2) \quad \mathcal{H}^p := [\mathcal{M} \odot \mathcal{M}, (\mathcal{M} \odot \mathcal{M})^\dagger]_{[\theta]}$$

where $p = \frac{1}{1-\theta}$ and A^\dagger denotes the anti-dual of A .

We consider \mathcal{H}^p to be the H^p scale for the space \mathcal{M} . In Section 2 we study properties of the \mathcal{H}^p spaces for general \mathcal{M} . In Section 3 we specialize to the case that \mathcal{M} is a complete Pick space.

Date: January 15, 2019.

2010 Mathematics Subject Classification. Primary.

Key words and phrases. Smirnov class, Drury-Arveson space, Toeplitz operator.

J.M. was partially supported by National Science Foundation Grant DMS 1565243.

2. GENERAL SPACES

The space $(\mathcal{M} \odot \mathcal{M})^\dagger$ was described in [1]. Let $\mathcal{M} \otimes_\pi \mathcal{M}$ denote the projective tensor product of \mathcal{M} with itself. Its dual is $\mathcal{B}(\mathcal{M}, \overline{\mathcal{M}})$, where $\overline{\mathcal{M}}$ is the complex conjugate of \mathcal{M} . Let $\rho : \mathcal{M} \otimes_\pi \mathcal{M} \rightarrow \mathcal{M} \odot \mathcal{M}$ be defined by

$$\rho : \sum f_n \otimes g_n \mapsto \sum f_n(z)g_n(z).$$

Then $(\mathcal{M} \odot \mathcal{M})^*$ can be identified with $(\ker \rho)^\perp$. We can identify $(\mathcal{M} \odot \mathcal{M})^\dagger$ with

$$\text{Han} := \{\overline{T1} : T \in (\ker \rho)^\perp\}.$$

If $b \in \text{Han}$, which is a subset of \mathcal{M} , the corresponding conjugate linear functional on $\mathcal{M} \odot \mathcal{M}$ is given by

$$\Lambda_b : f \mapsto \langle b, f \rangle \quad \forall f \in \mathcal{M}.$$

We write H_b for the unique operator $H \in \mathcal{B}(\mathcal{M}, \overline{\mathcal{M}}) \cap (\ker \rho)^\perp$ that satisfies $H_b 1 = \bar{b}$. We put a norm on Han by declaring $\|b\|$ equal to the operator norm of H_b . Let

$$\begin{aligned} \mathcal{X}(\mathcal{M}) := \{b \in \mathcal{M} : \exists C \geq 0 \quad \text{s.t.} \quad & |\langle b, \phi f \rangle| \leq C \|\phi\|_{\mathcal{M}} \|f\|_{\mathcal{M}} \\ & \forall \phi \in \text{Mult}(\mathcal{M}), f \in \mathcal{M}\}. \end{aligned}$$

Then under assumption (A) it is proved in [1, Thm 2.5] that

$$\text{Han} \subseteq \mathcal{X}(\mathcal{M}).$$

Theorem 2.1. *The complex interpolation space $[\mathcal{M} \odot \mathcal{M}, \text{Han}]_{[\frac{1}{2}]}$ is isometrically isomorphic to \mathcal{M} .*

PROOF: Assumption (A) implies that \mathcal{M} is dense in $\mathcal{M} \odot \mathcal{M}$. Pisier proved in [5] that if a Hilbert space \mathcal{M} is densely contained in a Banach space A , then $[A, A^\dagger]_{[\frac{1}{2}]} = \mathcal{M}$. His proof is in the context of operator spaces; a direct proof of the fact is given in [6]. See also [4] for another proof. \square

We shall let \mathcal{H}^p be defined by (1.2) with $\theta = \frac{p-1}{p}$, and write \mathcal{H}^1 for $\mathcal{M} \odot \mathcal{M}$. Notice that since $\text{Han} \subseteq \mathcal{M} \odot \mathcal{M}$, we have

$$\mathcal{H}^p \supseteq \mathcal{H}^q \supseteq \text{Han}$$

whenever $1 \leq p \leq q < \infty$.

Theorem 2.2. *For $1 < p < \infty$, we have $(\mathcal{H}^p)^\dagger$ is isometrically isomorphic to $\mathcal{H}^{p'}$, where p' is the conjugate index to p .*

PROOF: By the reiteration theorem [2, Thm. 4.6.1], if we interpolate between \mathcal{H}^1 and \mathcal{H}^2 we get \mathcal{H}^p for $1 < p < 2$, and if we interpolate

between \mathcal{H}^2 and Han we get \mathcal{H}^p for $2 < p < \infty$. Since \mathcal{H}^2 is reflexive, we have by the duality theorem [2, Cor. 4.5.2]

$$[\mathcal{H}^1, \mathcal{H}^2]_{[\theta]}^\dagger = [\text{Han}, \mathcal{H}^2]_{[\theta]},$$

which proves the theorem for $1 < p \leq 2$. In [3, 12.2], Calderon proved that if one end point space is reflexive, all the intermediate ones are too. So this proves the theorem for $2 < p < \infty$. \square

We define Han_0 by

$$\text{Han}_0 := \{b \in \text{Han} : H_b \text{ is compact}\}.$$

By [1, Thm. 2.5], Han_0 is the predual of $\mathcal{M} \odot \mathcal{M}$. We think of Han_0 as the analogue of VMOA.

Proposition 2.3. *For $0 < \theta < 1$, we have*

$$[\mathcal{M} \odot \mathcal{M}, \text{Han}_0]_{[\theta]} = [\mathcal{M} \odot \mathcal{M}, \text{Han}]_{[\theta]}.$$

PROOF: By [4, Thm. 4.2],

$$[\mathcal{M} \odot \mathcal{M}, \text{Han}_0]_{[\frac{1}{2}]} = \mathcal{M}.$$

Need to show that, in their notation, if D_0 is the set of finite linear combinations of kernel functions, then this is dense in $A = \text{Han}_0$. This works out to showing that finite sums

$$\sum c_j \overline{k_{x_j}} \otimes k_{x_j} : f \mapsto \langle f, \sum c_j k_{x_j} \rangle_{\mathcal{M}} \overline{k_{x_j}}$$

are dense in Han_0 .

This should follow from the Hahn–Banach theorem and the fact that $\text{Han}_0^* = \mathcal{M} \odot \mathcal{M}$.

Therefore the reiteration theorem proves the result for $0 < \theta \leq \frac{1}{2}$. It remains to prove that

$$[\mathcal{M}, \text{Han}_0]_{[s]} = [\mathcal{M}, \text{Han}]_{[s]}$$

for $0 < s < 1$. But applying the duality theorem twice we get

$$[\mathcal{M}, \text{Han}_0]_{[s]}^{**} = [\mathcal{M}, \text{Han}]_{[s]},$$

and by Calderon's reflexivity theorem again, we have $[\mathcal{M}, \text{Han}_0]_{[s]}$ is reflexive for $0 \leq s < 1$, so we are done. \square

Let δ_x be the functional of evaluation at $x \in X$.

Lemma 2.4. *For $1 \leq p \leq 2$, we have $\|\delta_x\|_{\mathcal{H}^{p*}} \leq k(x, x)^{1/p}$.*

Proof. The complex method of interpolation shows that

$$(2.3) \quad \|\delta_x\|_{\mathcal{H}^{p*}} \leq \|\delta_x\|_{\mathcal{M}^*}^{1-\theta} \|\delta_x\|_{(\mathcal{M} \odot \mathcal{M})^*}^\theta,$$

where

$$\frac{1}{p} = \frac{1 - \theta}{2} + \frac{\theta}{1}.$$

Since $\|\delta_x\|_{\mathcal{H}^p} = k(x, x)^{1/2}$ and $\|\delta_x\|_{(\mathcal{M} \odot \mathcal{M})^*} \leq k(x, x)$, the right-hand side of (2.3) is dominated by $k(x, x)^{(\theta+1)/2} = k(x, x)^{1/p}$. \square

In Section 3 we shall prove that this estimate is sharp (up to a constant) in complete Pick spaces.

Remark 2.5 There are many interesting Hilbert function spaces for which assumption (A) fails, such as ℓ^2 , the Hardy space of the upper half-plane, or the Fock space. One can still define an \mathcal{H}^p scale for these spaces for $p \in [1, 2]$ by interpolating between $\mathcal{M} \odot \mathcal{M}$ and \mathcal{M} . The tricky part is finding a general method for identifying the anti-duals of these spaces with Banach function spaces on X .

3. COMPLETE PICK SPACES

4. CR PROPERTY

Have $\mathcal{X}(\mathcal{M}) = \text{Han}$

REFERENCES

- [1] Alexandru Aleman, Michael Hartz, John E. McCarthy, and Stefan Richter, *Weak products of complete Pick spaces*. to appear. $\uparrow 2, 3$
- [2] J. Bergh and J. Löfström, *Interpolation spaces*, Springer-Verlag, Berlin, 1976. $\uparrow 2, 3$
- [3] A.P. Calderón, *Intermediate spaces and interpolation, the complex method*, *Studia Math.* **24** (1964), 113–190. $\uparrow 3$
- [4] Fernando Cobos and Tomas Schonbek, *On a theorem by Lions and Peetre about interpolation between a Banach space and its dual*, *Houston J. Math.* **24** (1998), no. 2, 325–344. $\uparrow 2, 3$
- [5] Gilles Pisier, *The operator Hilbert space OH, complex interpolation and tensor norms*, *Mem. Amer. Math. Soc.* **122** (1996), no. 585, viii+103. $\uparrow 2$
- [6] Frédérique Watbled, *Complex interpolation of a Banach space with its dual*, *Math. Scand.* **87** (2000), no. 2, 200–210. $\uparrow 2$

LUND UNIVERSITY, MATHEMATICS, FACULTY OF SCIENCE, P.O. BOX 118,
S-221 00 LUND, SWEDEN

Email address: alexandru.aleman@math.lu.se

FERNUNIVERSITÄT HAGEN

Email address: michael.hartz@fernuni-hagen.de

DEPARTMENT OF MATHEMATICS, WASHINGTON UNIVERSITY IN ST. LOUIS,
ONE BROOKINGS DRIVE, ST. LOUIS, MO 63130, USA

Email address: mccarthy@wustl.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF TENNESSEE, 1403 CIRCLE
DRIVE, KNOXVILLE, TN 37996-1320, USA

Email address: richter@math.utk.edu